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§ 730.1 Filing of reports

(a) Every gas or electric corporation having equipment containing 500 parts per million (ppm) or greater of polychlorinated biphenyls (PCBs), including but not limited to capacitors and transformers, shall submit three copies of a PCB inventory report to the Commission semiannually.

(b) The inventory reports shall be submitted on September 1st each year, for the reporting period January 1st through June 30th preceding, and on March 1 each year, for the period July 1st through December 31st preceding.

(c) Each gas corporation, as set forth herein, shall file with the Commission to the attention of the Albany office of the Energy and Water Division, a report of each discovery in the gas distribution system of materials containing PCBs in concentrations of 50 ppm or greater, such as materials collected at custody transfer points, and the PCB concentrations found in such materials. The reports, shall be filed annually in duplicate by February 1st of the year following the calendar year for which the report is rendered.

(d) The inventory reports referred to in subdivision (a) of this section shall include two parts: one covering PCB equipment in service as of the last day of the reporting period and one covering PCB equipment retired, stored, processed, or shipped during the reporting period.

(e) Each gas or electric corporation submitting the inventory reports referred to in subdivision (a) of this section shall also submit to the chief executive of each county and city located in its service territory a report containing the information presented for equipment and PCBs located in or transported through the county or city receiving the report.

(f) The following provisions apply in connection with the inventory reports:

(1) The term equipment means devices such as transformers, capacitors, compressors, or other equipment designed to contain oil or other fluids necessary for their operation. The term does not include items on the gas distribution system that were not designed to contain oil necessary for operation such as drips, valves, or pipelines.

(2) Materials means liquids, particulate matter, rags or other items containing PCBs.

(3) Political jurisdiction may be reported by any of the following: town, village, city, each borough of New York City, or tax district. If the report is by tax district, it shall be made clear which political jurisdiction or jurisdictions comprise each tax district.

(4) The location of PCB equipment in service may be reported by any of the following: street address, building name, line and pole number, line and vault number, pole number with street and cross-street, vault number with street and cross-street or, for gas utility equipment, by pipeline mile post, engineering station, or geographic feature. If the location is reported by pole and line number or vault number, additional information shall be available to indicate the exact location of the line or equipment. For substations and power plants, additional information shall be available to indicate the location of the equipment within each substation or power plant. The location of storage and processing facilities shall be reported by postal address and shall include, as a minimum, the political jurisdiction in which the facility is located.

(5) Size shall be expressed as volume of PCB fluid, and the PCB concentration (ppm) shall be reported. The fluid, if a dielectric, shall be identified (i.e., mineral oil, Askarel, pure PCB).

(6) Service date may be reported by any of the following: date of purchase, date of first installation, date of last installation, date of capitalization, or date of manufacture. Date of manufacture may be reported by serial number if a key is provided which cross-references serial number to date of manufacture. For capacitors, the reported service date may be the service date for the oldest capacitor in a bank or the date that the bank was first installed. For transformers located in substations, the reported service date may be the service date for the oldest transformer installed at each substation. Each

inventory report shall specify whether the reported service date is the purchase date, date of capitalization, or date of manufacture.

(7) Quantity means the number of individual units. Capacitors may be reported by bank if the number of units per bank is stated.

(8) The term in-service applies to equipment used electrically under loaded conditions, and to other equipment, such as gas compressors, on gas distribution systems. In-service equipment may also be identified as equipment recorded in the Electric Plant In Service or Gas Plant In Service accounts.

(9) The last in-service location is the last location at which the PCB equipment was in service, or the location (i.e., service center or treatment storage and disposal facility) at which the electrical equipment or material was first determined to contain 500 ppm or greater of PCBs.

(10) The retirement date reported shall be either the date on which the equipment is no longer in service or the date on which the equipment was removed from the Electric Plant In Service or Gas Plant In Service accounts.

(11) The New York State portion of the shipping route shall be described for all shipments of PCBs between storage and processing facilities and for all shipments out of State. The route description shall identify the highways used and shall identify all cities and counties traversed by the route. Shipping routes within New York City shall identify the borough or boroughs traversed.

§ 730.2 Content of reports

(a) The in-service PCB equipment inventory reports and the retired PCB equipment inventory reports shall include all capacitors, transformers, gas compressors, and other equipment or material containing 500 ppm or greater of PCBs. The report shall not include equipment designed to use the PCB-free mineral oil dielectric fluids unless the unit has been serviced with fluid containing 550 ppm or greater of PCBs, or there is any other reason to believe that the unit contains, or was ever mixed with fluid with a concentration level of 500 ppm or greater, or unless testing has specifically shown otherwise.

(b) The inventory reports shall be organized alphabetically by county for those counties in which the PCB equipment is in service or was last in service.

(c) For each county, the reports shall be organized by political jurisdiction. The information for each political jurisdiction shall be divided into sections, one section each for transformers, capacitors, gas compressors and other equipment as applicable. Each section shall then be organized into overhead, underground, substation, power plant and compressor station categories.

(d) In the in-service equipment inventory, the equipment in each category shall be organized and identified by location. At each location, the size, quantity, and service date shall be reported.

(e) A separate summary sheet of in-service equipment shall indicate by section, one section each for transformers, capacitors, gas compressors, and other equipment, the total number of PCB items and the total amount of PCBs in each county and political jurisdiction. In addition, company-wide grand totals shall be provided for PCB transformers, PCB capacitors, and other PCB equipment.

(f) In the retired PCB equipment inventory, the material in each category shall be organized and identified by the last in-service location. The following information shall be provided, if applicable, for each item: the size, the quantity, the retirement date, the location of facilities at which the equipment was stored or processed, the date of shipment of PCBs and PCB equipment within or out of New York State, a description of the New York State portion of the shipping route, and a statement of the ultimate destination for out-of-state shipments. The storage/processing facilities and the shipping dates or routes need not be reported for activities that took place prior to the date the equipment was determined to contain 500 ppm or greater of PCBs.

(g) In the reporting of discoveries by gas corporations of materials with PCB concentrations of 50 ppm or more found at custody points or gate stations, reporting forms supplied by the Gas Unit shall be used to provide information on: company name and reporting dates, location and type of collection point, approximate date of delivery, date of removal, volume of material removed, PCB concentration (ppm), source of PCB material (supplier if single source can be identified), and method of removal. The reports shall be signed by a responsible company official.