

STATE OF NEW YORK
PUBLIC SERVICE COMMISSION

CASE 12-M-0476 - Proceeding on Motion of the Commission to Assess Certain Aspects of the Residential and Small Non-residential Retail Energy Markets in New York State.

CASE 98-M-1343 - In the Matter of Retail Access Business Rules.

CASE 06-M-0647 - In the Matter of Energy Service Company Price Reporting Requirements.

CASE 98-M-0667 - In the Matter of Electronic Data Interchange.

JUNE 2016 REPORT ON EDI STANDARDS DEVELOPMENT

On February 25, 2014, the Public Service Commission (“Commission”) issued Order Taking Actions to Improve the Residential and Small Nonresidential Retail Access Markets (“February 2014 Order”)¹ in the above referenced cases. Periodically, updates to New York’s Electronic Data Interchange (“EDI”) Standards Documents, consisting of Implementation Guides, Testing Plans and Business Process documents (collectively, “EDI Standards Documents” or “EDI Standards”) reported by the New York Electronic Data Interchange Working Group (“EDI Working Group”) are reported to the Commission. The last EDI report was submitted in January 2016.²

The instant filing (“June 2016 Report”) includes revisions to EDI Standards Documents supplementing and/or clarifying transactions supporting issuance of ESCO credits to Assistance Program Participants (“APP Credits”) and consequent remittance activity.³ Whereas the EDI Standards in the January 2016 Report focused upon cases where APP Credits would be provided to current or former ESCO customer on utility consolidated bills, the modifications proposed in

¹ Case 12-M-0476 et al., *supra*, Order Approving Modifications to the Electronic Data Interchange Standards (Issued and Effective December 7, 2015) (“December 2015 Order”).

² Case 12-M-0476 et al., January 2016 Report on EDI Standards Development (submitted January 29, 2016) (“January 2016 Report”).

³ These changes are reflected primarily in the 503, 814C and 820 EDI Transactions.

the June 2016 EDI also consider circumstances under which customers would receive APP credits directly from ESCOs.

In addition to the EDI changes described above, the June 2016 Report revises the EDI Standards Documents to:

- The terms Assistance Program Participant (APP) Credits and APP Credits are changed to ESCO Pricing Adjustment (EPA) Credits and EPA Credits, respectively, in the EDI Standards Documents. The APP Credit is one type of an EPA Credit.⁴
- The EDI Working Group is suspending further development of the 568 AR and 568PA EDI Transactions because no party utilizes, or plans to utilize, these transactions.
- Update the conditionality of REF Reference Identification (Previous Utility Customer Account Number) segment in most EDI to ensure consistency...
- Update the examples and/or business process descriptions/diagrams in various EDI Standards Documents.
- Add clarifying notes/language in various EDI Standards Documents.
- Address miscellaneous errata.

I. Description of Revised EDI Standards Documents

The modified EDI standards, attached to this filing, are organized by EDI transaction:

Attachment	Transaction	Description	IG	DD	BP
1	248	Account Assignment	x		
2	503	Pricing History Request & Response	x		x
3	568AR	Account Receivables Advisement	x		x
4	568PA	Payment Advisement	x		x
5	810UBR	Invoice – Utility Bill Ready Billing	x		x
6	810URR	Invoice – Utility Rate Ready Billing	x		
7	814C	Change (Account Maintenance) Transaction	x	x	x
8	814D	Drop Request & Response Transaction	x		
9	814E	Enrollment Request & Response Transaction	x		
10	814HU	Consumption History Request & Response Transaction	x		

⁴ The Commission envisions a broader ESCO Customer Credit as provided in Case 15-M-0127 et al., Order Resetting Retail Energy Markets and Establishing Further Process (Issued February 23, 2016). The term ESCO Pricing Adjustment reflects the broader concept but as a practical matter, the APP Credit is the only type of EPA Credit reflected in the EDI Standards documents at this point in time.

Attachment	Transaction	Description	IG	DD	BP
11	814R	Reinstatement Request & Response	x		
12	820	Remittance Advice	x	x	
13	824AA-NN 824AA-PN	Application Advice	x x		
14	867HU	Historic Usage Transaction	x		
15	867MU	Monthly Usage Transaction	x		

Each attachment consists of Clean⁵ and Redlined⁶ versions of EDI Standards Documents if changes were made to the existing document (designated with an ‘x’ in the table above).

Expedited EDI Standards Approval

The EDI Standards documents referenced in the instant filing are being submitted under the Expedited EDI Standards Approval Process (“Expedited Process”).⁷ The EDI Working Group does not recommend or request further Commission action. The Expedited Process includes redress provisions for parties that disagree with the recommendations in this filing.

II. Proposed Implementation Timeframe Recommendations

The EDI Working Group does not provide a specific implementation timeline but notes that for those changes to the EDI Standards proposed in the June 2016 Report that primarily concern existing business practices, optional business practices or corrections to reflect current EDI implementations, they should be implemented by utilities in the normal course of business. With the exception of minor changes and/or “bug fixes”, when implementing changes (including optional items) utilities should provide at least 30 days notice to ESCOs utilizing EDI in the

⁵ The Clean versions of the above documents are the items the EDI Working Group adopts as replacements for the current versions of documents in the New York EDI Data Standards and Test Plans.

⁶ Each Redline document displays a comparison of the Clean version of the EDI standards document to the current version of the same EDI standards document.

⁷ “The Expedited EDI Standards Approval Process will apply to EDI transactions for which the EDI Working Group has reached consensus, including modifications associated with mature business practices, non-mandatory EDI modifications, retirement of unused EDI transaction components, and/or corrections of errors.”, December 2015 Order, p. 7.

utility's service territory (and their EDI Service Providers). This notification will give ESCOs lead time to implement changes to (and test, if necessary) their systems.

With respect to the EPA/APP Credit oriented changes, the EDI Working Group is not in a position to recommend a specific implementation date because an Order authorizing provision of APP Credits has not yet been issued. Once action is taken by the Commission, the EDI Working Group will be able to make an implementation recommendation based upon the scope of the Commission's action.⁸

III. Summary

The EDI Working Group plans to continue its meeting schedule and respond to the issues brought forth by industry participants, Department of Public Service Staff and the Commission.

Respectfully submitted,



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⁸ Implementation of the full complement of business processes associated with low income assistance program customers envisioned in the February 2014 Order will result in significant systems development requirements for both Utilities and ESCOs.