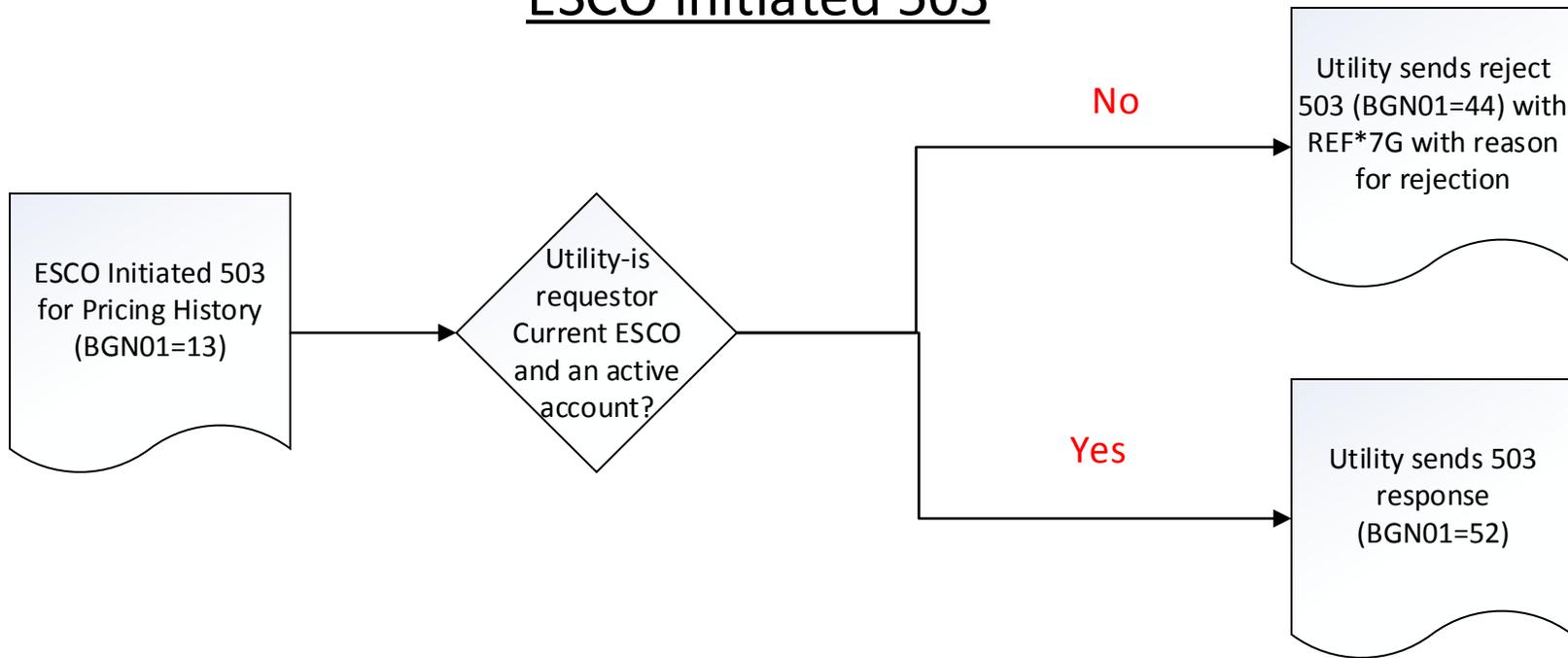


**Case No. 12-M-0476 et al.**  
**EDI Business/Technical Working Groups**  
**Workpaper – 503 Transaction Technical Team Discussion - 4/1/2016**

Preliminary Proposals

1. Status quo – effectively no changes other than renaming certain items.
2. Because there will be many more requests relative to what had been presumed for APP Credits, reconfigure 503 into a monthly transaction.
  - a. Rate Ready utilities they would send an 867, 810, and 503.
  - b. Bill Ready would send the 503 with the 824 since they wouldn't know the ESCO charges when they send the 867.
  - c. ESCOs would be responsible for managing the monthly 503 history in their business systems
    - i. If this approach is taken, would it make sense to retain the ability to request 24 months as originally envisioned?
3. Retain the 24 month format but the utility would create the 503 for all ESCO accounts on a scheduled basis (each billing cycle, once week, once a month?)
4. Modify EDI Standards to permit any of these possibilities but leave it up to the utility on how best to implement with respect to their billing system.

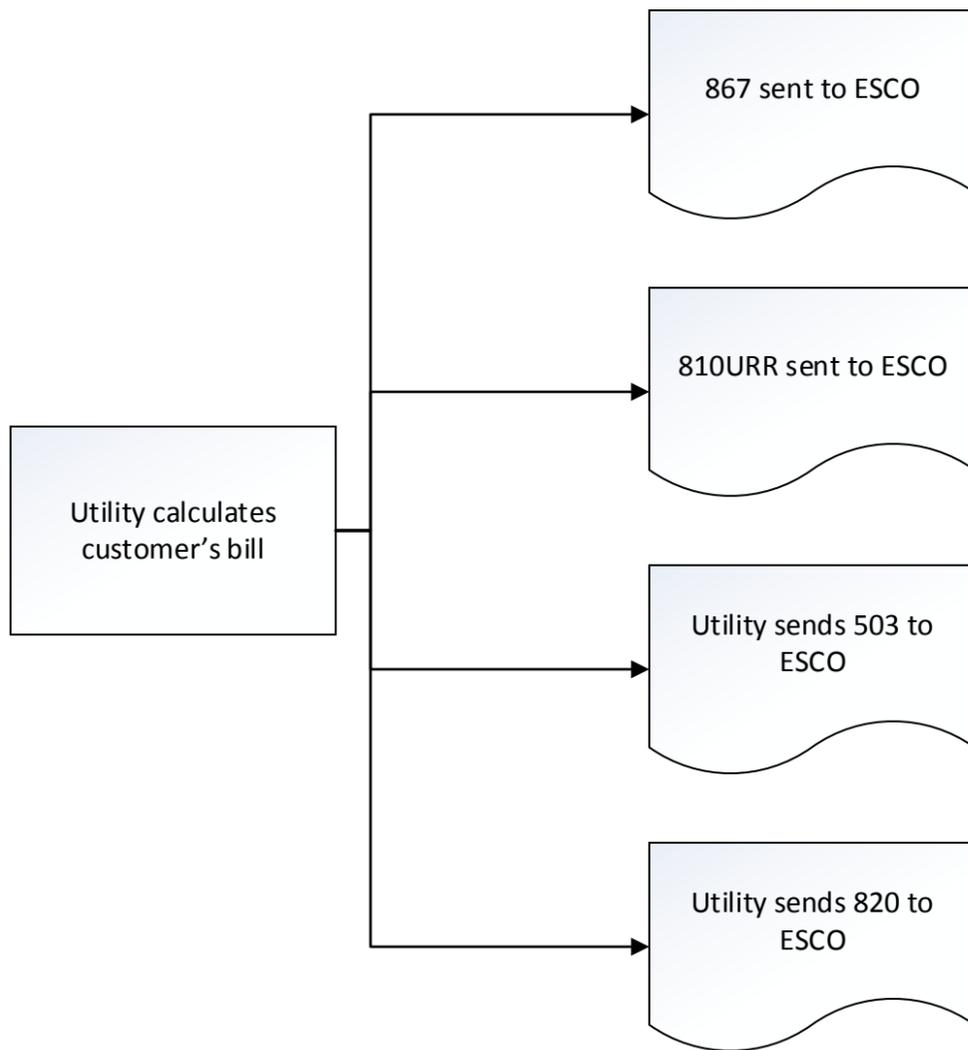
## ESCO initiated 503



### Question/Comments::

1. What if ESCO has not been the ESCO of record for the full 24 months? **ESCO still receive a 503 response but only for time period that ESCO has been with customer even if not current ESCO, similar if a new account will only receive data for period of time account is active**
2. If ESCO is no longer the current provider how will the previous ESCO obtain historical pricing information? **If ESCO within last 24 months will receive time period that you were the ESCO of record**
3. Should ESCO be able to request a specific month/bill cycle on the 503 rather than receive the full 24 months? **At this time not an option as utility will provide the 24 month period and include data for when you were the ESCO of record**
4. If ESCO initiated is only method for 503, if ESCO wants to keep a running total then a 503 will be initiated every month/billing cycle? **ESCO would have to submit monthly if what to keep a running total or in case of a drop scenario, otherwise could request on a yearly basis**
5. How will accelerated switch affect this process? **Will not affect the process, will receive 503 for when you were the ESCO of record**

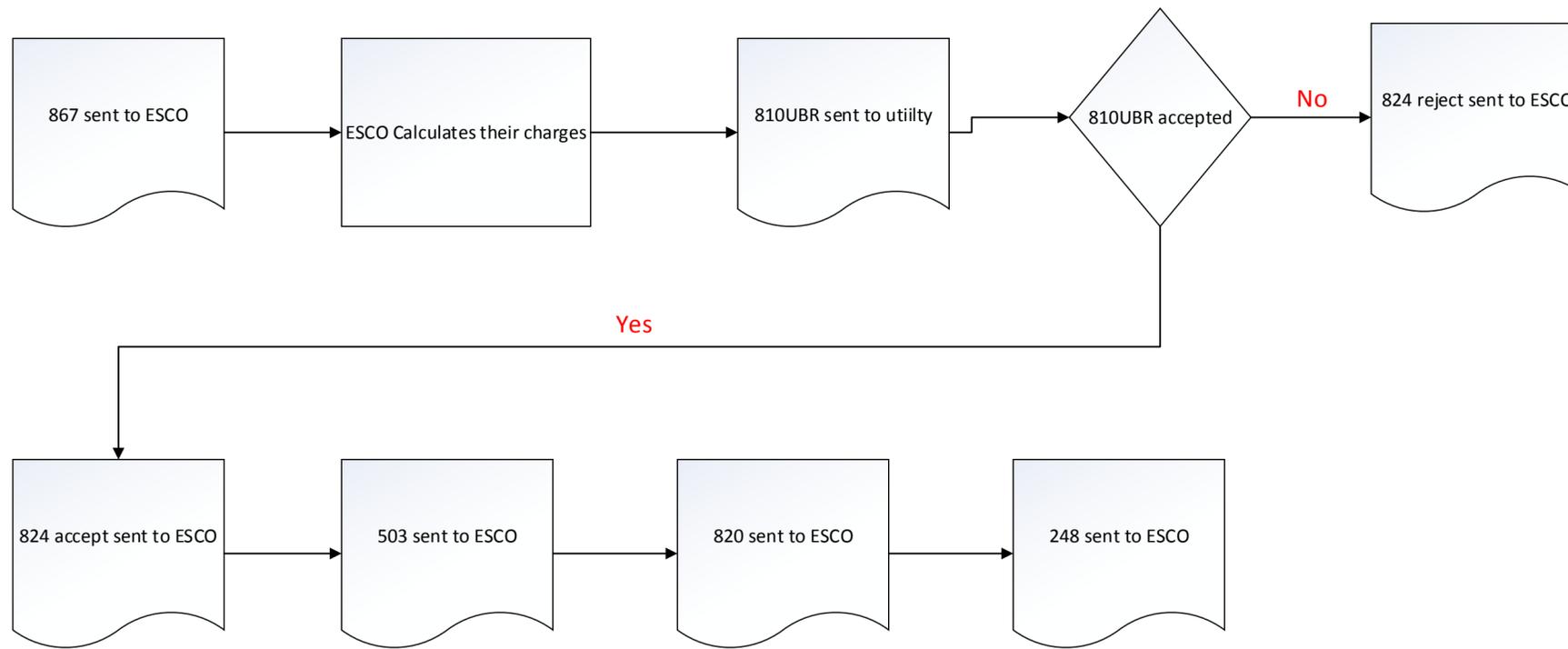
## Utility initiated 503-RR



### Question/Comments::

1. Should 503 contain data only for current month/billing cycle, or should it contain up to the last 24 months? [Utility would send last 24 months and you will receive data for when you were the ESCO of record](#)
2. If utility initiated is only method for 503 and it only contains current month/billing cycle, what alternatives does the ESCO have to obtain missing information? [Will not contain current month/billing cycle, will contain 24 months and if you were the ESCO of record in that period then you will receive 503. If customer was dropped 13 months ago you would continue to receive a 503 for another 11 months as you are the ESCO within the last 24 months.](#)
3. How will accelerated switch affect this process? [Will not affect the process, will receive 503 for when you were the ESCO of record](#)
4. If ESCO is no longer the current provider how will the previous ESCO obtain historical pricing information? [If ESCO within last 24 months will receive 503 for time period you were the ESCO of record](#)

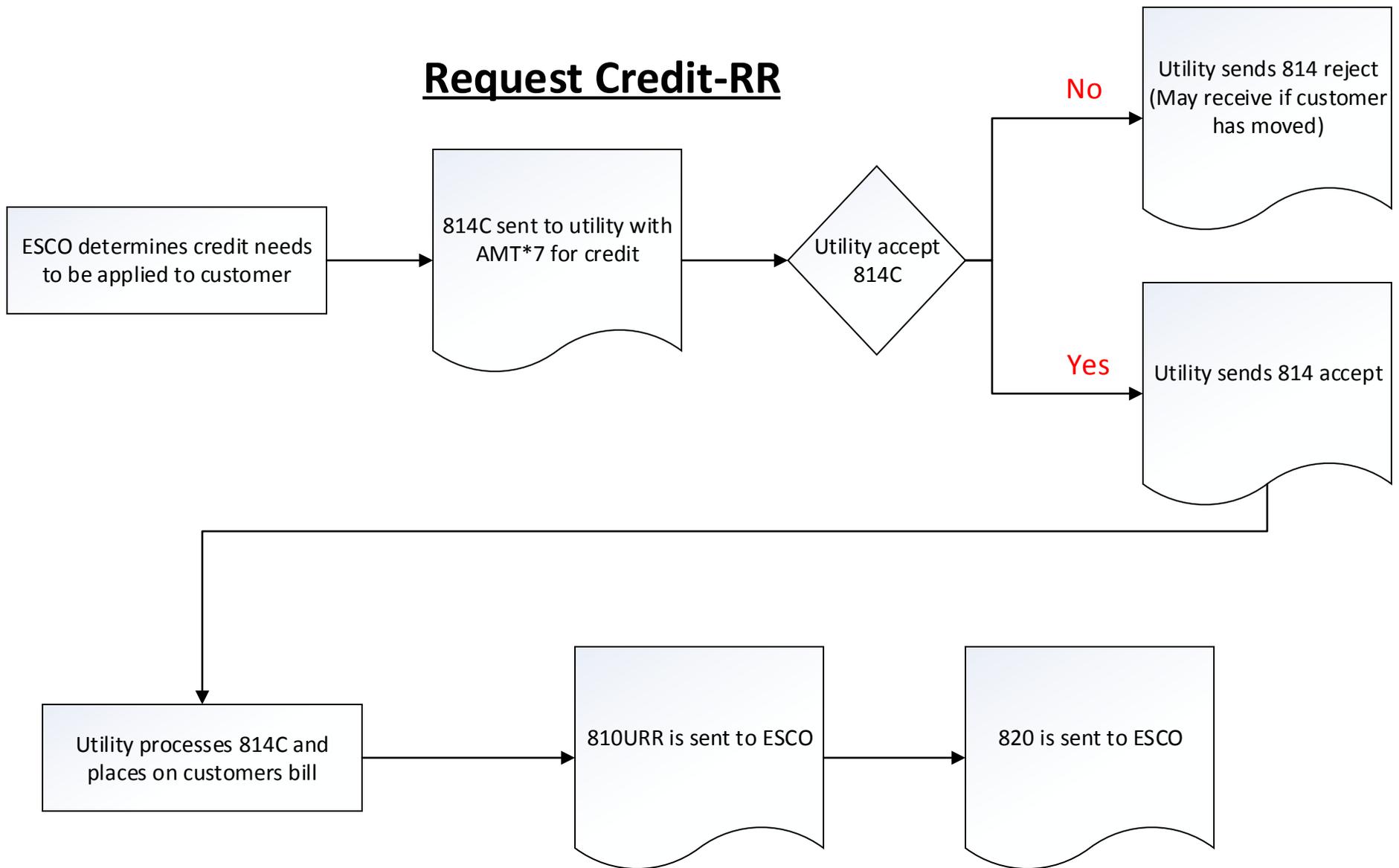
## Utility initiated 503-BR



### Question/Comments::

1. Should 503 contain data only for current month/billing cycle, or should it contain up to the last 24 months? [Last 24 months](#)
2. If utility initiated is only method for 503 and it only contains current month/billing cycle, what alternatives does the ESCO have to obtain missing information? [Will not contain current month/billing cycle, will contain 24 months and if you were the ESCO of record in that period then you will receive 503. If customer was dropped 13 months ago you would continue to receive a 503 for another 11 months as you are the ESCO within the last 24 months.](#)
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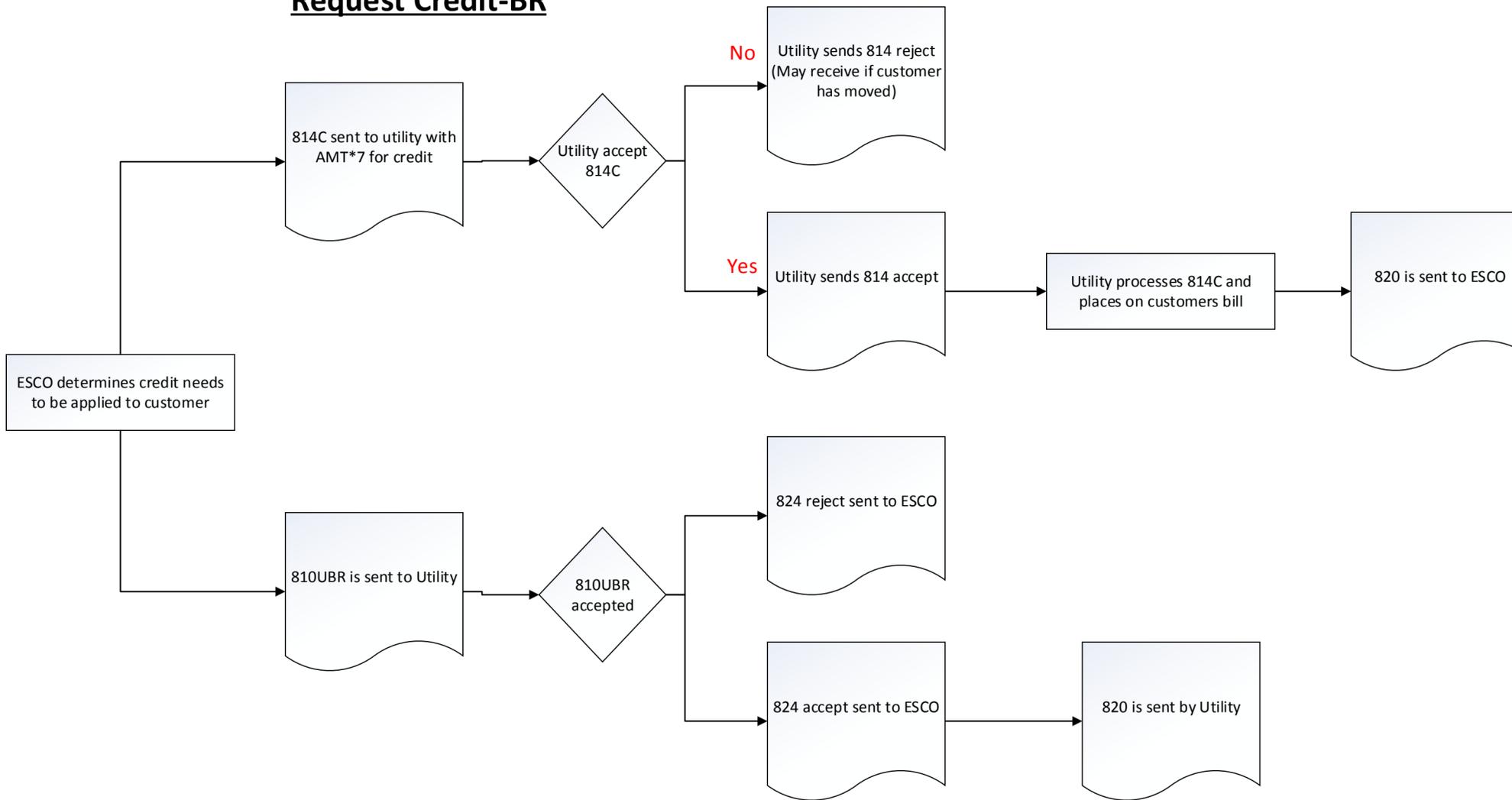
## Request Credit-RR



### Questions/Comments:

1. If incorrect amount was sent ESCO what process should ESCO follow? [Send additional 814C either negative or positive depending on circumstance. If owe the customer then negative amount but if they owe ESCO then positive amount.](#)
2. What is process if ESCO is no longer serving the customer but needs to issue a credit? [Still submit 814C](#)
3. Credits should be show as a negative number.
4. Utility may send a reject 814R concerning the credit if the customer has moved with an appropriate reason code and ESCO will have to issue credit manually.

## Request Credit-BR



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