

**New York Standard Approach for Estimating Energy Savings  
from Energy Efficiency Programs  
(Technical Resource Manual – TRM)**

<b>RECORD OF REVISION</b>					
<b>Revision Number</b>	<b>Issue Date</b>	<b>Effective Date Range</b>	<b>Measure</b>	<b>Heading/Subsection of Tech Manual Change or Addition and Brief Description of Change/Addition</b>	<b>Location/Page in Tech Manual (October 15, 2010)</b>
8-13-1	8/31/13	9/1/13 – 11/30/13	Furnaces and Steam Boilers	<b>Furnace and Boilers (Commercial):</b> Corrects Record of Revision number 7-13-11 in two ways. 1) Minimum efficiency for gas furnaces less than 225 kBtu/hr is 78% AFUE or 80% thermal efficiency( $E_t$ ). 2) Minimum efficiency for steam boilers with greater than 2,500 kBtu/hr capacity is 80% combustion efficiency( $E_c$ ).	Pg. 137
8-13-2	8/31/13	9/1/13 – 11/30/13	Boilers (Residential) and Furnaces and Boilers (Commercial)	<b>Boilers (Residential): Compliance Efficiency from which Incentives are Calculated</b> Clarifies that the table containing the baseline efficiencies from which incentives are calculated is a recommendation by DPS and not policy.  <b>Furnaces and Boilers (Commercial): Compliance Efficiency from which Incentives are Calculated</b> Clarifies that the table containing the baseline efficiencies from which incentives are calculated is a recommendation by DPS and not policy.	Pgs. 49 & 137
7-13-1	7/31/13	8/1/13-10/31/13	Building Type Descriptions	Introduction: Adds a table listing each of the 27 building types with brief description.	Adds new table in Introduction section
7-13-2	7/31/13	8/1/13-10/31/13	CFL	<b>CFL: Savings Estimation Approach</b> Adds statement that permits usage of actual wattage data (of CFL) and manufacturer's cut data sheet when known, in place of deemed value in Appendix C. Adds method for replacing halogens.	Pgs. 12, 107

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7-13-3	7/31/13	8/1/13-10/31/13	Refrigerators	<b>Refrigerators</b> Adds and describes 2 options that can be utilized to estimate energy savings due to refrigerator replacement. Description of the metering option is removed. Also adds savings adjustment factors based on age and seal condition of refrigerator being replaced.	Pgs. 16-17
7-13-4	7/31/13	8/1/13-10/31/13	Refrigerator/ Freezer Bounty	<b>Refrigerator and Freezer Recycling: Annual Energy and Summer Peak Demand Savings</b> Replaces deemed peak kW savings factor with temperature adjustment and load shape factors.	Pg. 23
7-13-5	7/31/13	8/1/13-10/31/13	Indirect Water Heater (Residential)	<b>Residential Indirect Water Heater: <i>Method for Calculating Energy Savings</i></b> Adds title of “Gallons per Day”	Pg. 85
7-13-6	7/31/13	8/1/13-10/31/13	Indirect Water Heater (Residential)	<b>Residential Indirect Water Heater: <i>Tank overall heat loss coefficient</i></b> Heat loss coefficient (UA) default values added for typical water heater sizes; 40, 80 & 120 gallons.	Pg. 86
7-13-7	7/31/13	8/1/13-10/31/13	Faucet Aerators	<b>Faucet Aerators: <i>Summary of Variables and Data Sources</i></b> Corrects transposition of GPM usage in table.	Pg. 95
7-13-8	7/31/13	8/1/13-10/31/13	Lighting (Commercial)	<b>Interior and Exterior Lighting: <i>Method for Calculating Summer Peak Demand and Energy Savings</i></b> Adds/defines baseline conditions for retrofits.	Pgs. 109, 111
7-13-9	7/31/13	8/1/13-10/31/13	Interior Lighting Controls	<b>Interior Lighting Controls</b> Adds method and table to calculate full load hours using automatic controls.	Pg. 113

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7-13-10	7/31/13	8/1/13-10/31/13	Boilers and Furnaces (Commercial)	<b>Furnaces and Boilers: <i>Method for Calculating Energy Savings</i></b> Modifies text to define thermal efficiency and how it and combustion efficiency are used for larger boilers and furnaces.	Pgs. 136-137
7-13-11	7/31/13	8/1/13-10/31/13	Boilers and Furnaces (Commercial)	<b>Furnaces and Boilers: <i>Method for Calculating Energy Savings</i></b> Addition to text defines minimum efficiencies for furnaces and boilers in accordance with New York State Energy Conservation Construction Code	Pgs. 137-138
7-13-12	7/31/13	8/1/13-10/31/13	Variable Frequency Drives	<b>Variable Frequency Drives: <i>Method for Calculating Summer Peak Demand and Energy Savings</i></b> Corrects incorrect appendix reference (should be Appendix K.)	Pg. 157
7-13-13	7/31/13	8/1/13-10/31/13	Indirect Water Heaters (Commercial)	<b>Indirect Water Heaters <i>Method for Calculating Energy Savings</i></b> Corrects definition of thermal efficiency and removes unused definitions.	Pg. 165
7-13-14	7/31/13	8/1/13-10/31/13	Indirect Water Heaters (Commercial)	<b>Indirect Water Heaters <i>Method for Calculating Energy Savings</i></b> Copies table containing deemed values of water usage from “Water Heaters” section above into this section. Also Corrects UA values table by removing “base” so that resulting table indicates UA values based on diameter and insulation.	Pgs. 166-167
7-13-15	7/31/13	8/1/13-10/31/13	Pipe Insulation	<b>Pipe Insulation:</b> Adds entirely new section covering savings in space heating and domestic hot water through installation of pipe insulation.	N/A – New Section

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7-13-16	7/31/13	8/1/13-10/31/13	Evaporator Fan Controls	<b>Evaporator Fan Controls: Savings Estimation Approach and Summary of Variables and Data Source</b> Corrects mislabeled savings factor, $F_{\text{control}}$ and corrects formulae for calculating kWh and kW savings.	Pgs. 175-176
7-13-17	7/31/13	8/1/13-10/31/13	ECM's	<b>ECMs for Refrigerated Cases and Walk In Cooler Fans</b> Corrected formula for kWh	Pg. 185
7-13-18	7/31/13	8/1/13-10/31/13	Building Prototypes	<b>Appendix A: Refrigerated Warehouse</b> Clarifies that the building model applies only to new construction.	Appendix A, pg. 249
7-13-19	7/31/13	8/1/13-10/31/13	Minimum Outdoor Air Fraction	<b>Appendix A: Hotel:</b> Defines term "PTAC" and adds "Minimum Outdoor Air Fraction to building characteristics listing; FYL only, term not used in calculation and applies only to portions of building covered by HVAC system.	Appendix A, pg. 235
7-13-20	7/31/13	8/1/13-10/31/13	Building Prototypes	<b>INTRODUCTION &amp; Appendix A: Commercial Building Prototypes</b> Clarifies what constitutes "other" and when it should be used.	Pgs. 11, 218 respectively
7-13-21	7/31/13	8/1/13-10/31/13	Building Prototypes	<b>Appendix A: Prototypical Building Descriptions</b> Clarifies definition of "old", "average", and "new" vintage by eliminating gaps in range of year categories.	Appendix A, pg. 209
7-13-22	7/31/13	8/1/13-10/31/13	Weighting Factors for Commercial Buildings	<b>Appendix B: Weighting Factors for Commercial Building Calculation</b> Adds weighting factors for HVAC interactive effects for 13 building types and "other."	N/A – Adds new table after low-rise weighting factor table.
7-13-23	7/31/13	8/1/13-10/31/13	Lighting	<b>Appendix C: Standard Fixture Watts</b> Adds additional fixtures throughout Appendix C.	Throughout Appendix C, pgs. 254-288

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7-13-24	7/31/13	8/1/13-10/31/13	Roof Insulation	<b>Appendix E: Roof Insulation</b> Corrects mislabeled item in last NYC table; should read “Gas Heat, No AC.”	Appendix E, pg. 319
7-13-25	7/31/13	8/1/13-10/31/13	Air Leakage Sealing and Opaque Shell Insulation	<b>Appendix E: Opaque Shell Measure Savings</b> Adds statement at beginning of section that effective ‘R’ values reflect the factors of compression and installation quality.	Appendix E, pg. 297
7-13-26	7/31/13	8/1/13-10/31/13	Wall and Roof Insulation	<b>Appendix E:</b> Adds missing ‘R’ value column for Binghamton.	Appendix E, pgs. 325-330
7-13-27	7/31/13	8/1/13-10/31/13	Page Header and R Value Correction	<b>Appendix H: Residential Distribution System Efficiency in Cooling Mode (Attic Ducts)</b> Corrects page headers which incorrectly identified this section as ‘G.’ R value corrected in two pages to correctly list values as R-6.	Appendix H, pgs. 437-438
7-13-28	7/31/13	8/1/13-10/31/13	Engine Block Heater Timer	<b>Engine Block Heater Timer</b> Adds this agricultural measure.	N/A-New Section
7-13-29	7/31/13	8/1/13-10/31/13	Indirect Residential Water Heaters	Deemed value of 1 inch insulation if thickness of tank insulation not known.	Pg. 98
7-13-30	7/31/13	8/1/13-10/31/13	Commercial programmable setback thermostats (PSTs)	Default value from table has been removed. Engineering judgment may be required if installing only PST.	Pg. 151
7-13-31	7/31/13	8/1/13-10/31/13	Evaporator fan controls & ECM Motors in refrigerator cases & Walk-In Cooler Fans	Corrects formulae to derive kW value. (Volts x amps must be divided by 1000.)	Pgs. 174, 185
7-13-32	7/31/13	8/1/13-10/31/13	Boilers & Furnaces	Correction of error in formula.	Pgs. 47, 77
7-13-33	7/31/13	8/1/13-10/31/13	Residential Indirect Water Heaters	Missing unit conversion factor corrected.	Pg. 83

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7-13-34	7/31/13	8/1/13-10/31/13	Residential (& Commercial) Indirect Water Heaters	Recovery efficiency used to determine UA now listed in residential section; for larger boilers UA is determined by using standby loss specification.	Pgs. 85 & 165
7-13-35	7/31/13	8/1/13-10/31/13	Residential Indirect Water Heaters	Removes reference to electric water heater as baseline unit.	Pg. 85
7-13-36	7/31/13	8/1/13-10/31/13	Water heaters, residential and commercial	Corrects inconsistent unit conversion factor of 100,000 BTU's/therm	Pgs. 78, 159
7-13-37	7/31/13	8/1/13-10/31/13	EFLH for Room Air Conditioners	Manual now lists most current, verified EFHL for Con Ed of 382 hours.	Pgs. 76-77
7-13-38	7/31/13	8/1/13-10/31/13	Opaque Shell Insulation	Identification of peak coincidence factor (CF <sub>s</sub> ) corrected.	Pg. 26
7-13-39	7/31/13	8/1/13-10/31/13	Chillers	Transitions from use of Coefficient of Performance (COP) to kW/ton for purpose of sizing chillers. Minimum efficiencies listed are least stringent allowed by NYS ECC and provide greater flexibility to PA's.	Pg. 145
7-13-40	7/31/13	8/1/13-10/31/13`	Commercial Indirect Water Heaters	Deemed value of 1 inch insulation if thickness of tank insulation not known	Pg. 98
7-13-41	7/31/13	8/1/13-10/31/13	Refrigerators	The inserted footnote describes how the retail rebate measure does not require a chapter, and its analysis can otherwise use materials in this chapter. Rebates at the retail level affect choice between new refrigerators, leaving no existing old one to be recycled or not	Pg. 15

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6-13-1	6/30/13	7/1/13 – 9/30/13	Water Heater (Residential)	<b><i>Method for Calculating Energy Savings</i></b> Revises the inlet water temperature ('T' mains column) in the accompanying tables for New York City from 62.5 <sup>0</sup> to 55 <sup>0</sup> (F)  <b>AND</b> Inserts row for inlet water temperature ('T' mains column) in accompanying tables for Long Island, to acknowledge difference of water source from NYC. Inlet water temperature for Long Island established at 62.5 <sup>0</sup> (F)	Pg. 80
6-13-2	6/30/13	7/1/13 – 9/30/13	Indirect Water Heater (Residential)	Same as above.	Pg. 85
6-13-3	6/30/13	7/1/13 – 9/30/13	Heat Pump Water Heater (Residential)	Same as above.	Pg. 90
6-13-4	6/30/13	7/1/13 – 9/30/13	Low Flow Showerheads (Residential)	Same as above.	Pg. 93
6-13-5	6/30/13	7/1/13 – 9/30/13	Faucet Aerators (Residential)	Same as above.	Pg. 95
6-13-6	6/30/13	7/1/13 – 9/30/13	Water Heaters (Commercial)	Same as above.	Pg. 161
6-13-7	6/30/13	7/1/13 – 9/30/13	Indirect Water Heater (Commercial)	Same as above.	Pg. 166
6-13-8	6/30/13	7/1/13 – 9/30/13	Low Flow Showerheads (Commercial)	Same as above.	Pg. 171
6-13-9	6/30/13	7/1/13-9/30/13	Faucet Aerators (Commercial)	Same as above.	Pg. 173
3-13-1	3/14/13	3/14/13	Appendix O	C&I Lighting Policy	On DPS Website
5-11-1	5/6/11	5/6/11	Appendix M	Guidelines for Early Replacement Conditions	On DPS Website
Original Issue	10/15/10	1/1/11	Original Issue	Original Issue	Original Issue

**Baseline Efficiencies from which Savings are Calculated**

The baseline efficiency ( $\bar{\eta}_{base}$ ) is defined by the 2010 Energy Conservation Construction Code of New York State (ECCNYS) as follows:

Equipment Type	Size Range	Minimum Efficiency
Furnace	< 225 kBtu/hr	78% AFUE or 80% Et
	>= 225 kBtu/hr	80% Et
Hot Water Boilers	< 300 kBtu/hr	80% AFUE
	>= 300 – 2500 kBtu/hr	75% Et and 80% Ec
	> 2500 kBtu/hr	80% Ec
Steam Boilers	< 300 kBtu/hr	75% AFUE
	>= 300 – 2500 kBtu/hr	75% Et and 80% Ec
	> 2500 kBtu/hr	80% Ec

**Compliance Efficiency from which Incentives are Calculated (Recommended)**

Efficient boiler efficiency ( $\bar{\eta}_{ee}$ ) is the manufacturer’s nameplate efficiency for the installed boiler. The recommended minimum boiler efficiency for incentives is defined as follows:

Boiler Type	Size Range	Recommended Minimum Efficiency
Non-Condensing Hot Water Boilers	< 300 kBtu/hr	85% AFUE
	>= 300 – 2500 kBtu/hr	85% Et or 88% Ec
	> 2500 kBtu/hr	88% Ec
Condensing Hot Water Boilers	< 300 kBtu/hr	90% AFUE
	>= 300 – 2500 kBtu/hr	90% Et or 93% Ec
	> 2500 kBtu/hr	93% Ec
Steam Boilers	< 300 kBtu/hr	82% AFUE
	>= 300 – 2500 kBtu/hr	80% Et or 83% Ec
	> 2500 kBtu/hr	83% Ec

b. Furnaces and Boilers- Commercial (pg. 138)

**Compliance Efficiency from which Incentives are Calculated (Recommended)**

Efficient furnace or boiler efficiency ( $\bar{\eta}_{ee}$ ) is the manufacturer’s nameplate efficiency for the installed equipment. The recommended minimum efficiency for incentives is defined as follows:

Equipment Type	Size Range	Recommended Minimum Efficiency
Furnace	All	Tier 1: 92% AFUE Tier 2: 95% AFUE
Non-Condensing Hot Water Boilers	< 300 kBtu/hr	85% AFUE
	>= 300 – 2500 kBtu/hr	85% Et or 88% Ec
	> 2500 kBtu/hr	88% Ec
Condensing Hot Water Boilers	< 300 kBtu/hr	90% AFUE
	>= 300 – 2500 kBtu/hr	90% Et or 93% Ec
	> 2500 kBtu/hr	93% Ec
Steam Boilers	< 300 kBtu/hr	82% AFUE
	>= 300 – 2500 kBtu/hr	80% Et or 83% Ec
	> 2500 kBtu/hr	83% Ec

Record of Revision Number 7-13-1

**Building Types**

Many of the sections that follow provide savings data by building type. A description of each building type is shown below; additional details are shown in Appendix A. Note: the building type classifications are defined primarily by activity, HVAC system type, and number of floors since the deemed parameters in the Tech Manual are generally normalized to equipment or measure size.

<i>Building Type</i>	<i>Description</i>
Assembly	Public buildings that include community centers, libraries, performance and movie theaters, auditoria, police and fire stations, gymnasias, sports arenas, and transportation terminals
Auto	Repair shops and auto dealerships, including parking lots and parking structures.
Big Box	Single story, high-bay retail stores with ceiling heights of 25 feet or more. Majority of floor space is dedicated to non-food items, but could include refrigerated and non-refrigerated food sales areas.
Community College	Community college campus and post-secondary technical and vocational education buildings, including classroom, computer labs, dining and office. Conditioned by packaged HVAC systems
Dormitory	College or University dormitories
Fast Food	Self-service restaurants with primarily disposable plates, utensils etc.
Full Service Restaurant	Full service restaurants with full dishwashing facilities

<i>Building Type</i>	<i>Description</i>
Grocery	Refrigerated and non-refrigerated food sales, including convenience stores and specialty food sales
Heavy Industrial	Single or multistory buildings containing industrial processes including pump stations, water and wastewater treatment plants; may be conditioned or unconditioned.
Hospital	Inpatient and outpatient care facility conditioned by built-up HVAC systems. Excludes medical offices
Hotel	Multifunction lodging facility with guest rooms, meeting space, foodservice conditioned by built-up HVAC system
Industrial Refrigeration	Refrigerated warehouses and food processing facilities maintained at space temperatures of 55 deg F or less.
Large Office	Office space in buildings greater than 3 stories conditioned by built-up HVAC system.
Light Industrial	Single story work space with heating and air-conditioning; conditioned by packaged HVAC systems
Multi-family high-rise	Multi-family building with more than 3 stories conditioned by built up HVAC system
Multi-family low-rise	Multi-family building with 3 stories or less conditioned by packaged HVAC system
Motel	Lodging facilities with primarily guest room space served by packaged HVAC systems
Multi Story Retail	Retail building with 2 or more stories served by built-up HVAC system
Primary School	K-8 school
Religious	Religious worship
Secondary School	9-12 school
Single Family residential	Single family detached residences
Small Office	Office occupancy in buildings 3 stories or less served by packaged HVAC systems; includes Medical offices
Small Retail	Single story retail with ceiling height of less than 25 feet; primarily non-food retail and storage areas served by packaged HVAC systems. Includes service businesses, post offices, Laundromats, and exercise facilities.
University	University campus buildings, including classroom, computer labs, biological and/or chemical labs, workshop space, dining and office. Conditioned by built-up HVAC systems
Warehouse	Primarily non-refrigerated storage space could include attached offices served by packaged HVAC system.

Note: for commercial buildings that cannot be reasonably associated with one the building types above, savings values for the “other” category should be used.

#### Record of Revision Number 7-13-2

Because the light bulbs are purchased from many product sources (drug stores, supermarkets, hardware stores, discount stores, etc.), and by many people, it is not practical to obtain information directly from consumers about the wattage of the baseline bulb (what is being replaced or what would have been used instead of the CFL). The alternative approach is to use a method that avoids the need to determine the baseline for each recorded CFL by assuming that the CFL bulb purchased is the equivalent of the bulb being replaced in terms of light output

equivalency. The method is to assume that the baseline is either an incandescent or a halogen bulb as appropriate.

**For incandescents** assume that the wattage is 3.53 times higher than the wattage of the equivalent CFL bulb. For dimmable or three-way CFL bulbs, assume the highest wattage/setting when calculating the baseline equivalent.

$\Delta$  Watts = 2.53 x CFL wattage. This is based on an incandescent to CFL wattage ratio of 3.53 to 1. The incandescent equivalency ratio is based on the 2008 DEER update study.

**For halogens** assume that the wattage is 2.55 times higher than the wattage of the equivalent CFL bulb. For dimmable or three-way CFL bulbs, assume the highest wattage/setting when calculating the baseline equivalent.

$\Delta$  Watts = 1.55 x CFL wattage. This is based on a halogen to CFL wattage ratio or 2.55 to 1.

### Description of Measure

This section covers energy-efficient lighting equipment, such as energy-efficiency lamps, energy-efficiency ballasts, compact fluorescent lamps, LED lamps, and improved lighting fixtures. Energy-efficient lamps may include fluorescent lamps, LED lamps, HID lamps, and incandescent lamps. Improved lighting fixtures may include reflectors and other optical improvements to lighting fixtures. These technologies, taken separately or combined into an energy-efficient lighting fixture, provide the required illumination at reduced input power.

**Watts<sub>base</sub>** is defined as the fixture wattage of the baseline lighting fixture. The baseline condition is assumed to be the existing and operational lighting fixture in all applications other than new construction or extensive renovations which trigger the building code. See table of standard fixture wattages in Appendix C.

New construction, space renovations or remodels may require a building permit that includes compliance with local or state energy codes. In these instances, the applicable energy code defines the baseline. The energy consumption of the efficient and baseline lighting systems are defined in terms of the lighting power density (LPD) in watts per square foot.

Record of Revision Number 7-13-3

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### **Refrigerators<sup>1</sup>**

This section covers replacement of refrigerators with Energy Star models in single family and multi-family dwellings. High-efficiency refrigerators save energy and demand through improved compressor design, better case insulation, improved door seals, and improvements to defrost and anti-sweat heater controls. Both early replacement and normal replacements are covered in this section. The algorithms include an adjustment for the fraction of replaced refrigerators that enter the used appliance market. Programs that cannot demonstrate disabling or recycling of removed refrigerators should include this adjustment.

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<sup>1</sup> This section applies to refrigerators with and without freezers

Through early replacement, annual savings ranging from a low of 300 kWh per unit, to a high of about 700 kWh per unit are expected. Three options for estimating the annual consumption of a refrigerator being replaced early are provided.<sup>2</sup> The first is measuring/metering actual energy usage of the refrigerator(s) to be replaced. The second option is a nameplate approach, with adjustments for age and condition (where nameplate data are available). The third is use of conservative deemed, default estimates of baseline (existing) refrigerator consumption.

The approach for estimating savings for normal replacements relies on Federal Appliance (NAECA) Standards for establishing baseline energy consumption. The baseline consumption for a normal replacement is a refrigerator or freezer that is minimally compliant with NAECA Standards according to the size and features of the new (replacement) unit.

Eligibility and energy consumption measurement rules for refrigerator replacements are as follows:

1. Only replacement of refrigerators that are ten years old or older are eligible for savings claims.
2. Replacement of units from 10 to 16 years old will be treated as *early replacements* and initially given full savings relative to the existing unit as calculated using one of the three options.
3. Replacement of older units will be considered *normal replacements* and will be given incremental savings. Incremental savings are defined as the difference between the annual kWh consumption of a new unit that is minimally compliant with Federal appliance standards (NAECA) and the new Energy Star unit.

### **Early Replacement Baseline Refrigerator Energy Consumption**

For the nameplate option, the formula is:

$$\text{kWh}_{\text{base}} = \text{kWh}_{\text{nameplate}} \times F_{\text{age}} \times F_{\text{seal}}$$

where:

$\text{kWh}_{\text{nameplate}}$  = Nameplate kWh consumption from DOE test procedure

$F_{\text{age}}$  = Nameplate adjustment due to refrigerator age

$F_{\text{seal}}$  = Nameplate adjustment due to seal condition

The age adjustment factor is 1.0 for refrigerators that are nine years old or newer, 1.1 for refrigerators between 9 and 14 years old, and 1.15 for refrigerators older than 14 years old. The seal adjustment factor is 1.0 for intact seals, and 1.05 for deteriorated seals.

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<sup>2</sup> See Order Approving Modifications to the Technical Manual, Case 07-M-0548, July 18, 2011, pps. 18 – 20. In a unique provision to facilitate PA benefit cost analysis, the order provides a \$75 default incremental cost for normal replacement of multifamily refrigerators, allowing use of a lesser value, not less than \$35, if documented. Although refrigerators in single family homes tend to be larger than those in multifamily housing, \$75 may still be used as the incremental cost for normal replacement.

The following default values may be used when a PA is unable, or prefers not, to use the metering or nameplate options:

$$\begin{aligned} kWh_{base} &= 695 \text{ kWh/year (ConEd and O\&R)} \\ &= 595 \text{ kWh/year (All other service territories)} \end{aligned}$$

The other options are likely to estimate higher consumption levels. The deemed values are for a relatively small (15 cubic feet) and only ten-year-old refrigerator in a small household.

### Notes & References

1. The Energy Star website has a directory of Energy Star qualified refrigerators by make and model number. The directory also lists the baseline energy consumption according to NAECA standards for the size and type of refrigerator purchased. See [www.energystar.gov](http://www.energystar.gov).
2. The age, seal condition and occupant adjustment factors are taken from National Energy Audit Tool (NEAT). Oak Ridge National Laboratory, Oak Ridge, TN. [http://weatherization.ornl.gov/national\\_energy\\_audit.htm](http://weatherization.ornl.gov/national_energy_audit.htm)
3. Mean life for normal sized refrigerators is assumed to be 17 years. See Preliminary Technical Support Document: Energy Efficiency Program for Consumer Products: Refrigerators, Refrigerator-Freezers, and Freezers. U.S. Department of Energy, November 2009.

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### **Refrigerator and Freezer Recycling**

#### Description of Measure

Existing, functional refrigerators or freezers replaced by homeowners often continue to be used as a second refrigerator or freezer, or sold or donated for use elsewhere. Refrigerator and freezer recycling programs (also called “bounty” programs) receive energy savings credit for permanently removing existing, functional refrigerators and freezers from the grid. The savings calculations apply to recycling of a functioning primary<sup>3</sup> or secondary refrigerator.

Peak demand savings per unit:

$$\Delta kW_s = \Delta kWh / 8760 \times TAF \times LSAF$$

$$\begin{aligned} TAF &= \text{Temperature Adjustment Factor} \\ &= 1.22 \text{ Upstate} \\ &= 1.26 \text{ NYC} \\ LSAF &= \text{Load Shape Adjustment Factor} \\ &= 1.06 \end{aligned}$$

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<sup>3</sup> Savings can be claimed for recycling a primary refrigerator as long as savings for that replacement were not claimed by another energy efficiency program.

## *Notes & References*

1. Evaluation Study of the 2004-2005 Statewide Residential Appliance Recycling Program, April 2008, ADM Associates.
2. TAF and LSAF taken from Blasnik, Michael, "Measurement and Verification of Residential Refrigerator Energy Use, Final Report, 2003-2004 Metering Study", July 29, 2004. It assumes 58% of New York homes have central air conditioning.

Record of Revision Number 7-13-5

**Indirect Water Heaters**

**Gallons per day.** Hot water use varies by family size. Estimates of hot water use per person as a function of number of people in the home is shown below.

Number of people	Gal/person-day	Gal/day-household
1	29.4	29
2	22.8	46
3	20.6	62
4	19.5	78
5	18.9	94
6	18.5	111

The **energy factor** is used to calculate seasonal water heater efficiency. The energy factor is reported by manufacturers according to a standard test procedure. The energy factor takes into account the efficiency of the heat source (electricity or gas) and the effectiveness of the tank insulation in reducing standby losses.

Record of Revision Number 7-13-6

Using the default values above, the tank UA for typical gas water heaters are shown in the Table below:

Water Heater Size	Gas Water Heater Tank UA
40	13.6
80	21.6
120	32.8

Record of Revision Number 7-13-7

**Summary of Variables and Data Sources**

Variable	Value	Notes
GPM <sub>ee</sub>	1.5	
GPM <sub>base</sub>	2.2	
Duration (minutes)	0.5	
Uses/day	30	
Days per year	365	
T <sub>faucet</sub>	80	
T <sub>mains</sub>		Avg T <sub>mains</sub> based on upstate or downstate
Water heater effic.	0.97	Electric
	0.75	Gas

**Watts<sub>ee</sub>** is defined as the fixture wattage of the efficient lighting fixture. See table of standard fixture wattages in Appendix C. Manufacturers' cut sheet data for fixture watts can be substituted for the typical values in Appendix C if available.

**Watts<sub>base</sub>** is defined as the fixture wattage of the baseline lighting fixture. The baseline condition is assumed to be the existing and operational lighting fixture in all applications other than new construction or extensive renovations which trigger the building code. See table of standard fixture wattages in Appendix C.

New construction, space renovations or remodels may require a building permit that includes compliance with local or state energy codes. In these instances, the applicable energy code defines the baseline. The energy consumption of the efficient and baseline lighting systems are defined in terms of the lighting power density (LPD) in watts per square foot. An alternate form of the lighting equations based on LDP is as follows:

**Baseline Efficiencies from which Savings are Calculated**

The baseline condition is assumed to be the existing and operational lighting fixture in all applications other than new construction or extensive renovations which trigger the building code. See table of standard fixture wattages in Appendix C. Note, depending on local codes, new construction, space renovations or remodels may require a building permit that includes compliance with local or state energy codes. In these instances, the applicable energy code defines the baseline.

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**Interior Lighting Controls**

**Description of Measure**

This section covers lighting control measures, including occupancy sensors, photocell controls, time clocks, stepped and dimming day lighting controls, dimmers and programmable control systems. These systems save energy and peak demand by shutting off power to lighting fixtures when the space is unoccupied or illumination is not required. They also save energy and demand by reducing power to lighting systems to correct for over-illumination due to excessive lamp output or the presence of daylight.

The baseline *full-load hours* are the average operating hours for all fixtures subject to lighting control measures *before the lighting controls are installed*. Full-load hours for a variety of commercial and residential buildings are discussed in the lighting efficiency section above. The measure full-load hours can be entered directly if known, or calculated from:

$$FLH_{ec} = FLH_{base} \times (1 - ESF)$$

Where:

ESF = energy savings factor

**Energy Savings Factors for Various Automatic Control Options**

Control Type	ESF
Occupancy sensor	0.30
Programmable control	0.15
Daylight dimming control	0.30
Daylight stepped control	0.20

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**Furnaces and Boilers**

**Description of Measure**

This section covers high efficiency gas fired furnaces and boilers in commercial applications. Furnace measures include standalone furnaces, high efficiency furnace sections in rooftop AC systems and furnaces included in split AC systems.

### Method for Calculating Energy Savings

$$\Delta \text{therms} = \text{units} \times \frac{\text{kBtu}_{\text{in}}}{\text{unit}} \times \left( \frac{\bar{\eta}_{ee}}{\eta_{base}} - 1 \right) \times \frac{EFLH_{heat}}{100}$$

where:

$\Delta \text{therms}$	= gross annual gas savings
units	= number of units installed
kBtu/unit	= the nominal heating input capacity in kBtu/hr
$\eta$	= seasonal average efficiency (0-100)
$EFLH_{heat}$	= heating equivalent full-load hours (relative to nameplate)

The nominal **heating input capacity** is the nameplate input rating of the unit in kBtu/hr.

The **seasonal average efficiency** of the furnace or boiler is the ratio of the heating output to the fuel input (in consistent units) over a heating season. This factor accounts for combustion efficiency, standby losses, cycling losses, and other sources of inefficiency within the furnace itself. The **AFUE** is an estimate of the seasonal heating energy efficiency of furnaces and small boilers (< 300 kBtu/hr) for an average US city calculated according to a standard US DOE method and reported by the furnace or boiler manufacturer. Programs should use the manufacturers' rated AFUE until data can be developed that are more appropriate for NY climates.

The **thermal efficiency**  $E_t$  is an instantaneous full load efficiency, including jacket losses. Boilers 300 kBtu/hr and larger should use the rated thermal efficiency as a proxy for the seasonal average boiler efficiency. Combustion efficiency ( $E_c$ ) may be used if  $E_t$  is not available.

**Heating equivalent load hours** are defined as the ratio of the annual building heating energy to the nameplate capacity:

$$EFLH_{heat} = \frac{\text{Annual Heating Energy (Btu)}}{\text{Nameplate capacity (Btu/hr)}}$$

Heating equivalent full load hours were calculated from a DOE-2.2 simulation of prototypical small commercial buildings. The prototype building characteristics are described in Appendix A. The heating EFLH for commercial buildings in NY are shown in Appendix G.

**Baseline Efficiencies from which Savings are Calculated**

The baseline efficiency ( $\bar{\eta}_{base}$ ) is defined by the 2010 Energy Conservation Construction Code of New York State (ECCNYS) as follows:

Equipment Type	Size Range	Minimum Efficiency
Furnace	< 225 kBtu/hr	78% AFUE
		80% Et
Hot Water Boilers	< 300 kBtu/hr	80% AFUE
	>= 300 – 2500 kBtu/hr	75% Et and 80% Ec
	> 2500 kBtu/hr	80% Ec
Steam Boilers	< 300 kBtu/hr	75% AFUE
	>= 300 – 2500 kBtu/hr	75% Et and 80% Ec
	> 2500 kBtu/hr	80% Et

**Compliance Efficiency from which Incentives are Calculated**

Efficient furnace or boiler efficiency ( $\bar{\eta}_{ee}$ ) is the manufacturer’s nameplate efficiency for the installed equipment. The minimum efficiency for incentives is defined as follows:

Equipment Type	Size Range	Minimum Efficiency
Furnace	All	Tier 1: 92% AFUE Tier 2: 95% AFUE
Non-Condensing Hot Water Boilers	< 300 kBtu/hr	85% AFUE
	>= 300 – 2500 kBtu/hr	85% Et or 88% Ec
	> 2500 kBtu/hr	88% Ec
Condensing Hot Water Boilers	< 300 kBtu/hr	90% AFUE
	>= 300 – 2500 kBtu/hr	90% Et or 93% Ec
	> 2500 kBtu/hr	93% Ec
Steam Boilers	< 300 kBtu/hr	82% AFUE

**Operating Hours**

Operating hour assumptions for the prototypical building models are described in Appendix A.

**Non-Gas Benefits - Annual Electric Savings**

High efficiency furnaces may be packaged with high efficiency cooling equipment and/or electronically commutated (EC) motors, which may provide electricity savings. Draft fans, when present, will increase electricity consumption.

**Summary of Variables and Data Sources**

Variable	Value	Notes
kBtuh <sub>in</sub> /unit		From application.
n <sub>base</sub>	See table above	Baseline established by ECCCNY by equipment type and size
n <sub>ee</sub>		From application; use units consistent with baseline efficiency.
EFLH <sub>heat</sub>		Lookup based on building type and location
Building type		From application

**Notes & References**

1. ECCCNY 2010 based on ASHRAE 90.1-2007

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The **unit energy and demand savings** across several commercial building types are shown in Appendix K. If building type does not match one of the types shown in Appendix K, use building type = other.

Record of Revision Number 7-13-13

**Method for Calculating Energy Savings**

$$\Delta_{\text{therm}} = \text{units} \times \left[ \frac{\text{GPD} \times 365 \times 8.3 \times \overline{\Delta T}_w}{100,000} \times \left[ \frac{1}{E_{c,\text{base}}} - \frac{1}{E_{c,\text{ee}}} \right] + \left( \frac{UA_{\text{base}}}{E_{c,\text{base}}} - \frac{UA_{\text{ee}}}{E_{c,\text{ee}}} \right) \times \frac{\Delta T_s}{100,000} \times 8760 \right]$$

where:

- $\Delta_{\text{therm}}$  = gross annual gas savings
- units = number of indirect water heaters installed under the program
- $UA_{\text{base}}$  = overall heat loss coefficient of base tank type water heater (Btu/hr-°F)
- $UA_{\text{ee}}$  = overall heat loss coefficient of indirect water heater storage tank (Btu/hr-°F)
- $\Delta T_s$  = temperature difference between the stored hot water and the surrounding air (°F)
- GPD = average daily water consumption (gallons/day)
- $\overline{\Delta T}_w$  = average difference between the cold inlet temperature and the hot water delivery temperature (°F)
- $E_{t,\text{ee}}$  = energy efficient indirect water heater boiler thermal efficiency
- $E_{t,\text{base}}$  = baseline water heater efficiency (=RE<sub>base</sub> if tank type baseline;  $E_{t,\text{base}}$  if indirect baseline)
- 8.3 = conversion factor (Btu/gallon-°F)
- 100,000 = conversion factor (Btu/therm)
- 365 = conversion factor (days/yr)

## Record of Revision Number 7-13-14

### Proposed Deemed Values for Gallons of Hot Water Use per Day (GPD) by Building Type

Building Type	GPD	Rate	Notes	Source
Assembly	150	5 per seat	water not HOT water; assume 10% hot water, 300 seats	<a href="http://www.p2pays.org/ref/42/41980.pdf">http://www.p2pays.org/ref/42/41980.pdf</a>
Big Box	100		assume like Small Office	Staff estimate
Fast Food	630	0.7 GPD per meal	50 meals per hour, 18 hours per day	NY TRM
Full Service Restaurant	1152	2.4 GPD per meal	40 meals per hour, 12 hr per day	NY TRM
Grocery	200		assume 2x Big Box	Staff estimate
Hospital	12000	300 GDP per bed	water not HOT water; assume 50% hot water, 80 beds	<a href="http://www.p2pays.org/ref/42/41980.pdf">http://www.p2pays.org/ref/42/41980.pdf</a>
Large Office	500	1.0 GDP per person	assume 500 ppl	NY TRM
Light Industrial	1250	25 GPD per person per shift	water not HOT water; assume half hot water, 100 people/day	<a href="http://www.p2pays.org/ref/42/41980.pdf">http://www.p2pays.org/ref/42/41980.pdf</a>
Multifamily high-rise	920	46 GPD per unit	20 units, (2 ppl per unit, ref table on page 66 of SF manual 12/16/09)	NY TRM
Multifamily low-rise	276	46 GPD per unit	6 units, (2 ppl per unit, ref table on page 66 of SF manual 12/16/09)	NY TRM
Primary School	300	0.6 GPD per student	500 students; reduce days per year to reflect school calendar	NY TRM
Small Office	100	1.0 GDP per person	100 people	NY TRM
Small Retail	50		Half of Big Box	Staff estimate
Auto repair	29		1-person household	Staff estimate
Community College	1440		assume like Secondary School	Staff estimate
Dormitory	14700		Single-person household - 500 students	Staff estimate
Heavy Industrial	1250	25 GPD per person per shift	water not HOT water; assume half hot water, 100 people/day	<a href="http://www.p2pays.org/ref/42/41980.pdf">http://www.p2pays.org/ref/42/41980.pdf</a>
Hotel	9000		3/4 of hotel	Staff estimate
Industrial Refrigeration	29		Assume like Auto Repair	Staff estimate
Motel	4500		Assume half of Hotel - laundry done on site	Staff estimate
Multi Story Retail	75		1.5" Small Retail	Staff estimate
Religious	150		Assume like Assembly	Staff estimate
Secondary School	1440	1.8 GPD per student	800 students; reduce days per year to reflect school calendar	NY TRM
University	3450	69 GPD per student	water not HOT water; assume 10% hot water, 500 students	<a href="http://www.p2pays.org/ref/42/41980.pdf">http://www.p2pays.org/ref/42/41980.pdf</a>
Warehouse	100		assume like Small Office	Staff estimate

Water heater size (gal)	Height (in)	Diameter (in)	UA (Btu/hr-F)				
			Bare tank	Fiberglass		Foam	
				1 in	2in	1 in	2in
140	76	24	47.9	12.0	6.2	9.6	4.9
200	72	30	60.9	14.7	7.6	11.7	6.0
250	84	30	68.1	16.8	8.6	13.3	6.9
350	88	36	88.8	21.5	11.0	17.1	8.7
400	97	36	95.3	23.3	11.9	18.5	9.5
500	74	48	115.9	26.3	13.3	20.9	10.6
750	106	48	146.9	34.9	17.7	27.7	14.1
1000	138	48	177.9	43.5	22.1	34.6	17.6

## Record of Revision Number 7-13-15

### Pipe Insulation

This section covers pipe insulation in space heating and domestic hot water (DHW) system distribution system applications. The savings depend on the type and size of the pipe, insulation type and thickness, hot water temperature and piping system ambient temperature.

#### Method for Calculating Energy Savings

$$\Delta kW_s = L \times \frac{[(UA/L)_{base} - (UA/L)_{ee}]}{\eta_{heater} \times 3412} \times \Delta T_s \times CF_s$$

$$\Delta kWh = L \times \frac{[(UA/L)_{base} - (UA/L)_{ee}]}{\eta_{heater} \times 3412} \times \overline{\Delta T} \times hr$$

$$\Delta\text{therm} = L \times \frac{[(UA/L)_{\text{base}} - (UA/L)_{\text{ee}}]}{\eta_{\text{heater}} \times 100,000} \times \overline{\Delta T} \times \text{hr}$$

where:

- $\Delta\text{kW}$  = gross coincident demand savings  
 $\Delta\text{kWh}$  = gross annual energy savings  
 $L$  = length of insulation installed  
 $\Delta T$  = temperature difference between water within the pipe and air under peak conditions  
 $\overline{\Delta T}$  = average temperature difference between water within the pipe and air temperature ( $^{\circ}\text{F}$ )  
 $UA/L$  = overall pipe heat loss coefficient per unit length (Btu/hr- $^{\circ}\text{F}$ -ft)  
 $CF$  = coincidence factor  
 $3412$  = conversion factor (Btu/kWh)  
 $8760$  = conversion factor (hr/yr)  
 $100,000$  = conversion factor (Btu per therm)  
 $\eta_{\text{heater}}$  = water heater or boiler efficiency

The **overall heat transfer coefficient** per foot of pipe for the base and improved (insulated) piping is shown in the tables below.

**Baseline Uninsulated Pipe Heat Loss Coefficient (UA/L) in Btu/hr- $^{\circ}\text{F}$ -ft**

Pipe Size (nominal) (in.)	Bare Copper Piping			Bare Steel Piping	
	Service Hot Water	Hot water heat	Steam heat	Hot water heat	Steam heat
0.75	0.40	0.45	0.49	0.73	0.78
1	0.50	0.56	0.61	0.89	0.95
1.25	0.59	0.67	0.72	1.10	1.18
1.5	0.68	0.78	0.83	1.24	1.33
2	0.86	0.98	1.05	1.52	1.63
2.5	1.04	1.18	1.26	1.81	1.94
3	1.21	1.37	1.47	2.16	2.32
4	1.54	1.75	1.88	2.72	2.92

**Insulated Copper Pipe Heat Loss Coefficient (UA/L) in Btu/hr- $^{\circ}\text{F}$ -ft**

Pipe Size (nominal) (in.)	Fiberglass				Rigid foam			
	0.5 in	1.0 in	1.5 in	2.0 in	0.5 in	1.0 in	1.5 in	2.0 in
0.75	0.17	0.11	0.09	0.08	0.12	0.08	0.06	0.05
1	0.21	0.13	0.10	0.09	0.15	0.09	0.07	0.06
1.25	0.24	0.15	0.11	0.10	0.17	0.10	0.08	0.07
1.5	0.27	0.16	0.13	0.11	0.20	0.12	0.09	0.08
2	0.34	0.20	0.15	0.12	0.24	0.14	0.11	0.09
2.5	0.41	0.23	0.17	0.14	0.29	0.17	0.12	0.10
3	0.47	0.26	0.19	0.16	0.34	0.19	0.14	0.11
4	0.60	0.33	0.24	0.19	0.43	0.24	0.17	0.14

### Insulated Steel Pipe Heat Loss Coefficient (UA/L) in Btu/hr-°F-ft

Pipe Size (nominal) (in.)	Fiberglass				Rigid foam			
	0.5 in	1.0 in	1.5 in	2.0 in	0.5 in	1.0 in	1.5 in	2.0 in
0.75	0.20	0.12	0.10	0.08	0.14	0.09	0.07	0.06
1	0.23	0.14	0.11	0.09	0.17	0.10	0.08	0.07
1.25	0.28	0.17	0.13	0.11	0.20	0.12	0.09	0.08
1.5	0.31	0.18	0.14	0.12	0.22	0.13	0.10	0.08
2	0.37	0.21	0.16	0.13	0.27	0.15	0.12	0.10
2.5	0.44	0.25	0.18	0.15	0.32	0.18	0.13	0.11
3	0.52	0.29	0.21	0.17	0.38	0.21	0.15	0.12
4	0.65	0.36	0.26	0.21	0.47	0.26	0.18	0.15

The *efficiency* of an electric storage type water heater is assumed to be 0.97. The efficiency of a non-condensing storage type water heater is assumed to be 0.75. For space heating applications, the efficiency of a gas hot water boiler is assumed to be 0.80 and the efficiency of a gas steam heating boiler is assumed to be 0.75.

The *ambient temperature difference* between the water temperature and the ambient room temperature is used to calculate the pipe losses. Water heaters are generally located in conditioned or partially conditioned spaces to avoid freezing. A room temperature of 70°F is the default value. A water heater set point temperature of 130°F is the default value. Similarly, space heating boilers are generally located in conditioned or partially conditioned spaces to avoid freezing. A room temperature of 60°F is the default value. An average water temperature of 160°F is the default value for hot water boilers, and an average steam pipe temperature of 190°F is the default value for steam boilers.

The *coincidence factor* is defined as the average fraction of the peak savings for the measure that occurs at the time of system peak. Since the measure affects standby losses, water heater savings occur year-round. Boiler systems are assumed to be turned off in the summer, so there are no savings in the summer.

The recommended value for the coincidence factor is shown below.

Parameter	Recommended Values
Coincidence factor (water heater)	1.0
Coincidence factor (space heating boiler)	0.0

#### **Baseline Efficiencies from which Savings are Calculated**

The UA<sub>base</sub> assumes uninsulated copper pipe for water heating applications, and uninsulated copper or steel pipes for space heating applications.

#### **Compliance Efficiency from which Incentives are Calculated**

The UA<sub>ec</sub> for insulated pipes was calculated for fiberglass and rigid foam pipe insulation of various thicknesses.

Service hot water pipe insulation for non-recirculating systems common in single family buildings is limited to the first 12 feet of hot water supply pipe leaving the water heater. Recirculating systems common in multifamily buildings should use the full length of installed pipe insulation to calculate savings.

Space heating pipe insulation is limited to insulation installed in unheated spaces only.

**Operating Hours**

The water heater is assumed to be available during all hours.

Single family and multifamily low rise buildings should use the heating equivalent full-load hours as shown in Appendix G. Systems in high rise multifamily buildings should use 3240 operating hours per year.

**Summary of Variables and Data Sources**

Variable	Value	Notes
L	From application	
$\Delta T$	60°F (service hot water) 100°F (hot water heat) 130°F (steam heat)	130°F hot water temp, 70°F room temp 160 °F hot water temp, 60°F room temp 190 °F steam temp, 60°F room temp
$\overline{\Delta T}$	60°F (service hot water) 100°F (hot water heat) 130°F (steam heat)	130°F hot water temp, 70°F room temp 160 °F hot water temp, 60°F room temp 190 °F steam temp, 60°F room temp
UA/L	From table above	Pick value based on pipe size, insulation type and insulation thickness
CF	1.0	
$\eta_{\text{heater}}$	0.97 (elec water heater) 0.75 (gas water heater) 0.80 (gas hot water heat) 0.75 (gas steam heat)	
hr	Service hot water: 8760hr Space Heat: $EFLH_{\text{heat}}$ (SF and MF lowrise) 3240 (MF high-rise)	$EFLH_{\text{heat}}$ from Appendix G.

**Notes & References**

1. The uninsulated pipe losses were obtained from the 2001 ASHRAE Handbook of Fundamentals, Chapter 25, Tables 11A and 12.
2. Insulated pipe losses were calculated using a k value of 0.25 Btu-in/SF-°F for fiberglass and 0.18 Btu-in/SF-°F for rigid foam insulation. Pipe wall resistance and exterior film resistance were neglected.

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**Evaporator Fan Controls**

**Description of Measure**

Walk-in cooler and freezer evaporator fans often run continually, requiring more air to be blown across the evaporator than needed to cool the evaporator. This measure consists of a control system that turns the fan on only when the unit's thermostat is calling for the compressor to operate, shutting the fan off shortly after the desired temperature is reached and the compressor is turned off.

**Savings Estimation Approach**

The savings from this measure is highly dependent on the type, size and condition of the coolers and freezers fitted with fan controls. As a result an estimate of the typical unit must be based on the program's projection of what types and sizes of units will be served and the condition of those units to function.

In general the following estimate approach must be made for the typical units that the program is expected to control:

$$\Delta kWh = \Delta kWh_{EF} + \Delta kWh_{RH} + \Delta kWh_{EC}$$

where:

- $\Delta kWh_{EF}$  = Savings due to Evaporator Fan being off
- $\Delta kWh_{RH}$  = Savings due to reduced heat from Evaporator Fans
- $\Delta kWh_{EC}$  = Savings due to the electronic controls on compressor and evaporator

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$$\Delta kWh_{EF} = kW_{fan} * FLH_{fan} * F_{off}$$

where:

- $kW_{fan}$  = Fan kW  
 $= (V * A * (\text{phase})^{0.5} * PF_{fan}) / 1000$
  - $V_{fan}$  = nameplate fan volts
  - $A_{fan}$  = nameplate fan amps
  - $Phase_{fan}$  = number of phases (1 or 3)
  - $PF_{fan}$  = power factor for fan motor
  - $FLH_{fan}$  = Annual operating hours
  - $F_{off}$  = Fraction of time that Evaporator Fan is turned off.
-

$$\Delta \text{kWh RH} = \Delta \text{kWh EF} * 0.28 * \text{kW/ton}$$

where:

$\Delta \text{kWh EF}$  = Savings due to Evaporator Fan being off.

0.28 = unit conversion (ton/kW)

kW/ton = compressor efficiency (kW/ton)

$$\Delta \text{kWh EC} = (\text{kW}_{\text{comp}} * ((\text{FLH}_w) + (\text{FLH}_s)) * F_{\text{control}}) + (\text{kW}_{\text{fan}} * \text{FLH}_{\text{fan}} * (1 - F_{\text{off}}) * F_{\text{control}})$$

where:

$\text{kW}_{\text{comp}}$  = Compressor kW  
 $= (V_{\text{comp}} * A_{\text{comp}} * (\text{phase}_{\text{comp}})^{0.5} * \text{PF}_{\text{comp}}) / 1000$

$V_{\text{comp}}$  = Compressor nameplate volts

$A_{\text{comp}}$  = Compressor nameplate amps

Phase = number of phases (1 or 3)

$\text{PF}_{\text{comp}}$  = power factor for compressor

$\text{FLH}_s$  = Compressor summer FLH

=  $\text{Cycle}_{\text{summer}} * \text{hr}_{\text{summer}}$

$\text{FLH}_w$  = Compressor winter FLH

=  $\text{Cycle}_{\text{winter}} * \text{hr}_{\text{winter}}$

$F_{\text{off}}$  = Fraction of time that Evaporator Fan is turned off.

$F_{\text{control}}$  = Fraction of time compressor and fans are off due to electronic controls

$A_{\text{comp}}$  = Nameplate Amps of Compressor

$V_{\text{comp}}$  = Nameplate Volts of Compressor

$\text{Phase}_{\text{comp}}$  = Phase of Compressor (1 or 3)

$$\Delta \text{kW} = \text{kW}_{\text{fan}} * \text{DF}$$

### Summary of Variables and Data Sources

Variable	Value	Notes
$\text{PF}_{\text{fan}}$	0.55	National Resource Management (NRM) - Program Implementer
$\text{PF}_{\text{comp}}$	0.85	National Resource Management (NRM) - Program Implementer
Op hr	8760	Hours per year
$F_{\text{off}}$	0.352	Estimate by NRM based on downloads of hours of use data from the electronic controller.
$F_{\text{control}}$	0.05	National Resource Management (NRM) - Program Implementer
kW/ton	1.6	Typical refrigeration system efficiency
$\text{Cycle}_{\text{summer}}$	0.55	Average summer duty cycle
$\text{Hr}_{\text{summer}}$	6565	Summer season hours/yr
$\text{Cycle}_{\text{winter}}$	0.35	Average winter duty cycle
$\text{Hr}_{\text{winter}}$	2195	Winter season hours/yr
DF	0.228	Based on New England Power Service Co. report "Savings from Walk-In Cooler Air Economizers and Evaporator Fan Controls", HEC, June 28, 1996

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**Electronically Commutated Motors (ECM) for Refrigerated Cases and Walk-In Cooler Fans**

EC Motor retrofits in Walk-in Coolers and Freezers

$$\text{kWh Savings} = \text{kWh Savings}_{\text{EF}} + \text{kWh Savings}_{\text{RH}}$$

Where:

kWh Savings<sub>EF</sub> = Savings due to Evaporator Fan Motors being replaced

kWh Savings<sub>RH</sub> = Savings due to reduced heat from Evaporator Fans

Where each component is calculated in the following manner:

$$\text{kWh Savings}_{\text{EF}} = (\text{Amps}_{\text{EF}} * \text{Volts}_{\text{EF}} * \sqrt{\text{Phase}_{\text{EF}}} * 0.55 * (8,760 \text{ or } 5,600) * 65\%) / 1000$$

Where:

Amps<sub>EF</sub> = Nameplate Amps of Evaporator Fan

Volts<sub>EF</sub> = Nameplate Volts of Evaporator Fan

Phase<sub>EF</sub> = Phase of Evaporator Fan

0.55 = Power Factor/Adjustment, estimate by National Resource Management, NRM based on their experience over the past 15 years.

8,760 = Annual operating hours if CoolTrol is not part of installation

5,600 = Annual operating hours if CoolTrol is part of installation

65% = Percent reduction of load by replacing motors. This value is an estimate by NRM based on several pre and post meter readings of installations. This is supported by RLW report for National Grid, "Small Business Services, Custom Measure Impact Evaluation", March 23, 2007.

**Appendix A: Prototypical Building Descriptions**  
**Refrigerated Warehouse**

A prototypical building energy simulation model for a refrigerated warehouse building was developed using the DOE-2.2R<sup>4</sup> building energy simulation program. The simulations were driven using TMY3 long-term average weather data. The characteristics of the prototype are summarized below.

**Prototypical Refrigerated Warehouse Model Description**

Model Parameter	Value
Vintage	New construction
Shape	Rectangular (400 ft by 230 ft)
Floor area	Freezer: 40,000 SF Cooler: 40,000 SF Shipping Dock: 12,000 SF Total: 92,000 SF
Number of floors	1
Floor to ceiling height	30 ft
Exterior wall construction	Insulated metal panel
Ext wall R-Value	Cooler and loading dock – R-20; Freezer – R-26

**Appendix A: Prototypical Building Descriptions**  
**Hotel**

A prototypical building energy simulation model for a hotel building was developed using the DOE-2.2 building energy simulation program. The characteristics of the prototype are summarized below.

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<sup>4</sup> DOE-2.2R is a specialized version of the DOE-2.2 program, designed specifically to model refrigeration systems.

## Hotel Prototype Building Description

Characteristic	Value
Vintage	Existing (1970s) vintage
Size	200,000 square feet total Bar, cocktail lounge – 800 SF Corridor – 20,100 SF Dining Area – 1,250 SF Guest rooms – 160,680 SF Kitchen – 750 SF Laundry – 4,100 SF Lobby – 8,220 Office – 4,100 SF
Number of floors	11
Wall construction and R-value	Block construction, R-7.5
Roof construction and R-value	Wood deck with built-up roof, R-13.5
Glazing type	Multi-pane; Shading-coefficient = 0.84 U-value = 0.72
Lighting power density	Bar, cocktail lounge – 1.7 W/SF Corridor – 1.0 W/SF Dining Area – 1.7 W/SF Guest rooms – 0.6 W/SF Kitchen – 4.3 W/SF Laundry – 1.8 W/SF Lobby – 3.1 W/SF Office – 2.2 W/SF
Plug load density	Bar, cocktail lounge – 1.2 W/SF Corridor – 0.2 W/SF Dining Area – 0.6 W/SF Guest rooms – 0.6 W/SF Kitchen – 3.0 W/SF Laundry – 3.5 W/SF Lobby – 0.6 W/SF Office – 1.7 W/SF
Operating hours	Rooms: 60% occupied 40% unoccupied All others: 24 hr / day
HVAC system type	Central built-up system: All except corridors and rooms 1. Central constant volume system with perimeter hydronic reheat, without economizer; 2. Central constant volume system with perimeter hydronic reheat, with economizer; 3. Central VAV system with perimeter hydronic reheat, with economizer PTAC (Packaged Terminal Air Conditioner): Guest rooms PSZ: Corridors
HVAC system size	Based on ASHRAE design day conditions, 10% over-sizing assumed.
Minimum outdoor air fraction	Built up system 0.3; PSZ: 0.14; PTAC: 0.11 is typical.
Chiller type	Water cooled and air cooled
Chilled water system type	Constant volume with 3 way control valves,
Chilled water system control	Constant CHW Temp, 45 deg F set point
Boiler type	Hot water, 80% efficiency
Hot water system type	Constant volume with 3 way control valves,
Hot water system control	Constant HW Temp, 180 deg F set point
Thermostat set points	Occupied hours: 76 cooling, 72 heating Unoccupied hours: 81 cooling, 67 heating

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***Appendix A: Prototypical Building Descriptions***  
**Commercial Building Prototypes**

Note: for purposes of applying the building type specific results to buildings not included in the prototype list, use the “other” category within each applicable measure savings section.

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***Appendix A: Prototypical Building Descriptions***  
**Residential Building Prototypes**

Analysis used to develop parameters for the energy and demand savings calculations are based on DOE-2.2 simulations of a set of prototypical residential buildings. The prototypical simulation models were derived from the residential building prototypes used in the California Database for Energy Efficiency Resources (DEER)<sup>5</sup> study, with adjustments made for local building practices and climate.

Three separate models were created to represent general vintages of buildings:

1. Old, poorly insulated buildings constructed before 1979, before the NY State Energy Code went into effect. This vintage is referred to as the “old” vintage.
2. Existing, average insulated buildings conforming to 1980s era building codes. This vintage is referred to as the “average” vintage, covering buildings constructed from 1979 to 2006.
3. New construction conforming to the 2007 Energy Conservation Code of New York State for residential buildings. This vintage is referred to as the “new” vintage, and covers buildings constructed from 2007 to present.

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**Weighting Factors for Commercial Building Calculations**

The Tech Manual currently lists energy savings estimates for small commercial buildings for a single vintage and HVAC system type, with the exception of HVAC interactive effects multipliers. Use the weights in the table below for HVAC interactive effects:

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<sup>5</sup> 2004-2005 Database for Energy Efficiency Resources (DEER) Update Study, Final Report, Itron, Inc. Vancouver, WA. December, 2005. Available at [http://www.calmac.org/publications/2004-05\\_DEER\\_Update\\_Final\\_Report-Wo.pdf](http://www.calmac.org/publications/2004-05_DEER_Update_Final_Report-Wo.pdf)

<b>Building Type</b>	<b>AC with gas heat</b>	<b>Heat Pump</b>	<b>AC with elec heat</b>	<b>Electric heat only</b>	<b>Gas heat only</b>
Assembly	0.67	0.08	0.12	0.03	0.14
Auto Repair	0.61	0.08	0.10	0.04	0.24
Big Box	0.64	0.07	0.18	0.02	0.07
Elementary School	0.68	0.11	0.11	0.01	0.08
Fast Food	0.64	0.09	0.18	0.01	0.06
Full Service	0.64	0.09	0.18	0.01	0.06
Grocery	0.64	0.07	0.18	0.02	0.07
Light Industrial	0.46	0.06	0.15	0.10	0.37
Motel	0.53	0.15	0.17	0.02	0.07
Religious	0.59	0.11	0.13	0.03	0.15
Small Office	0.63	0.10	0.19	0.00	0.02
Small Retail	0.64	0.07	0.18	0.02	0.07
Warehouse	0.46	0.06	0.15	0.10	0.37
Other	0.60	0.09	0.16	0.03	0.14

**Appendix C: Standard Fixture Watts**

Shown below is first page only of Appendix C, See DPS website for entire Appendix C.

**Reference: NYSEDA Existing Buildings Lighting Table with Circline Additions from CA SPC Table**

Fixture Code	Lamp Code	Description	Ballast	Lamp/Fixture	Watt/Lamp	Watt/Fixture
		<b><i>Compact Fluorescent Fixtures* Hard-Wired or Pin-Based Only</i></b>				
CF10/2D	CFD10W	Compact Fluorescent, 2D, (1) 10W lamp	Mag-STD	1	10	16
CF10/2D-L	CFD10W	Compact Fluorescent, 2D, (1) 10W lamp	Electronic	1	10	12
CF11/1	CF11W	Compact Fluorescent, (1) 11W lamp	Mag-STD	1	11	13
CF11/2	CF11W	Compact Fluorescent, (2) 11W lamp	Mag-STD	2	11	26
CF16/2D	CFD16W	Compact Fluorescent, 2D, (1) 16W lamp	Mag-STD	1	16	26
CF16/2D-L	CFD16W	Compact Fluorescent, 2D, (1) 16W lamp	Electronic	1	16	18
CF18/3-L	CF18W	Compact Fluorescent, (3) 18W lamp	Electronic	3	18	60
CF21/2D	CFD21W	Compact Fluorescent, 2D, (1) 21W lamp	Mag-STD	1	21	26
CF21/2D-L	CFD21W	Compact Fluorescent, 2D, (1) 21W lamp	Electronic	1	21	22
CF23/1	CF23W	Compact Fluorescent, (1) 23W lamp	Mag-STD	1	23	29
CF23/1-L	CF23W	Compact Fluorescent, (1) 23W lamp	Electronic	1	23	25
CF26/3-L	CF26W	Compact Fluorescent, (3) 26W lamp	Electronic	3	26	82
CF26/4-L	CF26W	Compact Fluorescent, (4) 26W lamp	Electronic	4	26	108
CF26/6-L	CF26W	Compact Fluorescent, (6) 26W lamp	Electronic	6	26	162
CF26/8-L	CF26W	Compact Fluorescent, (8) 26W lamp	Electronic	8	26	216
CF28/2D	CFD28W	Compact Fluorescent, 2D, (1) 28W lamp	Mag-STD	1	28	35
CF28/2D-L	CFD28W	Compact Fluorescent, 2D, (1) 28W lamp	Electronic	1	28	28
CF32/3-L	CF32W	Compact Fluorescent, (3) 32W lamp	Electronic	3	32	114
CF32/4-L	CF32W	Compact Fluorescent, (4) 32W lamp	Electronic	4	32	152
CF32/6-L	CF32W	Compact Fluorescent, (6) 32W lamp	Electronic	6	32	228
CF32/8-L	CF32W	Compact Fluorescent, (8) 32W lamp	Electronic	8	32	304
CF28/2D	CFD28W	Compact Fluorescent, 2D, (1) 28W lamp	Mag-STD	1	28	35
CF28/2D-L	CFD28W	Compact Fluorescent, 2D, (1) 28W lamp	Electronic	1	28	28
CF32/3-L	CF32W	Compact Fluorescent, (3) 32W lamp	Electronic	3	32	114
CF32/4-L	CF32W	Compact Fluorescent, (4) 32W lamp	Electronic	4	32	152
CF32/6-L	CF32W	Compact Fluorescent, (6) 32W lamp	Electronic	6	32	228
CF32/8-L	CF32W	Compact Fluorescent, (8) 32W lamp	Electronic	8	32	304

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Building: Single Family			City: NYC			HVAC: Gas Heat, no AC			Measure: Roof Insulation						
R <sub>eff</sub> Base	0			11			19			30			38		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF
<b>11</b>	105.6	0.0	224.4												
<b>19</b>	118.4	0.0	252.6	12.8	0.0	28.2									
<b>30</b>	125.9	0.0	268.8	20.3	0.0	44.4	7.5	0.0	16.2						
<b>38</b>	128.8	0.0	275.1	23.2	0.0	50.7	10.4	0.0	22.5	2.9	0.0	6.3			
<b>49</b>	131.4	0.0	280.7	25.8	0.0	56.3	13.0	0.0	28.2	5.5	0.0	11.9	2.6	0.0	5.6
<b>60</b>	133.1	0.0	284.3	27.5	0.0	59.9	14.7	0.0	31.7	7.2	0.0	15.5	4.3	0.0	9.2

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***Appendix E. Opaque Shell Measure Savings***  
**Single Family Residential Insulation Upgrades**

Note: R-values in Tables are effective R-values (R<sub>eff</sub>) including adjustments for compression and installation quality.

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<b>Building: Single Family</b>			<b>City: Binghamton</b>			<b>HVAC: AC with Gas Heat</b>			<b>Measure: Wall Insulation</b>						
<b>R<sub>eff</sub> Base</b>	<b>0</b>			<b>11</b>			<b>13</b>			<b>17</b>			<b>19</b>		
<b>R<sub>eff</sub> Measure</b>	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF
<b>11</b>	46.2	0.043	54.0												
<b>13</b>	54.3	0.054	62.6	8.1	0.011	8.7									
<b>17</b>	64.3	0.065	74.8	18.1	0.022	20.8	10.0	0.011	12.1						
<b>19</b>	67.4	0.065	79.2	21.2	0.022	25.3	13.1	0.011	16.6	3.1	0.000	4.4			
<b>21</b>	71.0	0.076	82.9	24.8	0.033	28.9	16.7	0.022	20.3	6.7	0.011	8.1	3.6	0.011	3.7
<b>25</b>	75.4	0.076	88.7	29.3	0.033	34.7	21.1	0.022	26.0	11.2	0.011	13.9	8.0	0.011	9.4
<b>27</b>	77.5	0.076	90.9	31.3	0.033	37.0	23.2	0.022	16.2	13.2	0.011	16.2	10.1	0.011	11.7

<b>Building: Single Family</b>			<b>City: Binghamton</b>			<b>HVAC: Heat Pump</b>			<b>Measure: Wall Insulation</b>			
<b>R<sub>eff</sub> Base</b>	<b>0</b>		<b>11</b>		<b>13</b>		<b>17</b>		<b>19</b>			
<b>R<sub>eff</sub> Measure</b>	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF		
<b>11</b>	846.0	0.054										
<b>13</b>	978.9	0.054	132.9	0.000								
<b>17</b>	1165.7	0.065	319.7	0.011	186.9	0.011						
<b>19</b>	1232.2	0.076	386.2	0.022	253.3	0.022	66.4	0.011				
<b>21</b>	1288.1	0.076	442.1	0.022	309.2	0.022	122.4	0.011	55.9	0.000		
<b>25</b>	1374.2	0.087	528.2	0.033	395.3	0.033	208.4	0.022	142.0	0.011		
<b>27</b>	1407.8	0.087	561.8	0.033	242.0	0.033	242.0	0.022	175.6	0.011		

Building: Single Family			City: Binghamton		HVAC: AC with Electric Heat		Measure: Wall Insulation			
R <sub>eff</sub> Base	0		11		13		17		19	
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF
11	1241.4	0.043								
13	1440.0	0.054	198.6	0.011						
17	1717.9	0.065	476.5	0.022	277.9	0.011				
19	1818.7	0.065	577.3	0.022	378.7	0.011	100.8	0.000		
21	1903.9	0.076	662.5	0.033	463.9	0.022	186.0	0.011	85.2	0.011
25	2035.0	0.076	793.6	0.033	595.1	0.022	317.1	0.011	216.3	0.011
27	2087.1	0.076	845.8	0.033	647.2	0.022	369.3	0.011	268.5	0.011

Building: Single Family			City: Binghamton		HVAC: Electric Heat, no AC		Measure: Wall Insulation			
R <sub>eff</sub> Base	0		11		13		17		19	
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF
11	1222.6	0.000								
13	1417.4	0.000	194.8	0.000						
17	1691.4	0.000	468.8	0.000	274.0	0.000				
19	1791.5	0.000	568.8	0.000	374.1	0.000	100.0	0.000		
21	1874.9	0.000	652.3	0.000	457.5	0.000	183.5	0.000	83.5	0.000
25	2004.4	0.000	781.8	0.000	587.0	0.000	313.0	0.000	213.0	0.000
27	2055.6	0.000	833.0	0.000	638.2	0.000	364.2	0.000	264.1	0.000

**Building: Single Family      City: Binghamton      HVAC: Gas Heat, no AC      Measure: Wall Insulation**

R <sub>eff</sub> Base	0			11			13			17			19		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF
<b>11</b>	27.3	0.000	54.0												
<b>13</b>	31.8	0.000	62.6	4.4	0.000	8.7									
<b>17</b>	37.8	0.000	74.8	10.5	0.000	20.8	6.1	0.000	12.1						
<b>19</b>	40.1	0.000	79.2	12.8	0.000	25.3	8.3	0.000	16.6	2.3	0.000	4.4			
<b>21</b>	41.9	0.000	82.9	14.6	0.000	28.9	10.2	0.000	20.3	4.1	0.000	8.1	1.8	0.000	3.7
<b>25</b>	44.9	0.000	88.7	17.6	0.000	34.7	13.1	0.000	26.0	7.0	0.000	13.9	4.8	0.000	9.4
<b>27</b>	46.0	0.000	90.9	18.6	0.000	37.0	14.2	0.000	28.3	8.1	0.000	16.2	5.9	0.000	11.7

**Building: Single Family      City: Binghamton      HVAC: AC with Gas Heat      Measure: Roof Insulation**

R <sub>eff</sub> Base	0			11			19			30			38		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF
<b>11</b>	311.4	0.290	332.9												
<b>19</b>	350.9	0.341	374.4	39.4	0.051	41.5									
<b>30</b>	369.8	0.358	398.8	58.4	0.068	65.9	18.9	0.017	24.4						
<b>38</b>	377.1	0.375	408.2	65.7	0.085	75.3	26.3	0.034	33.8	7.3	0.017	9.4			
<b>49</b>	385.2	0.392	416.6	73.7	0.102	83.6	34.3	0.051	42.2	15.4	0.034	17.7	8.0	0.017	8.4
<b>60</b>	389.4	0.392	422.0	78.0	0.102	89.1	38.6	0.051	47.6	19.6	0.034	23.2	12.3	0.017	13.8

<b>Building: Single Family</b>		<b>City: Binghamton</b>				<b>HVAC: Heat Pump</b>		<b>Measure: Roof Insulation</b>			
R <sub>eff</sub> Base	<b>0</b>		<b>11</b>		<b>19</b>		<b>30</b>		<b>38</b>		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	
<b>11</b>	5853.1	0.273									
<b>19</b>	6534.8	0.324	681.7	0.051							
<b>30</b>	6923.9	0.358	1070.8	0.085	389.1	0.034					
<b>38</b>	7075.4	0.358	1222.4	0.085	540.6	0.034	151.5	0.000			
<b>49</b>	7208.7	0.375	1355.6	0.102	673.9	0.051	284.8	0.017	133.3	0.017	
<b>60</b>	7294.7	0.375	1441.6	0.102	759.9	0.051	370.8	0.017	219.3	0.017	

<b>Building: Single Family</b>		<b>City: Binghamton</b>				<b>HVAC: AC with Electric Heat</b>		<b>Measure: Roof Insulation</b>			
R <sub>eff</sub> Base	<b>0</b>		<b>11</b>		<b>19</b>		<b>30</b>		<b>38</b>		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	
<b>11</b>	7921.3	0.290									
<b>19</b>	8887.9	0.341	966.6	0.051							
<b>30</b>	9449.0	0.358	1527.6	0.068	561.1	0.017					
<b>38</b>	9668.3	0.375	1746.9	0.085	780.4	0.034	219.3	0.017			
<b>49</b>	9861.9	0.392	1940.6	0.102	974.1	0.051	413.0	0.034	193.7	0.017	
<b>60</b>	9987.0	0.392	2065.7	0.102	1099.1	0.051	538.1	0.034	318.8	0.017	

<b>Building: Single Family</b>		<b>City: Binghamton</b>				<b>HVAC: Electric Heat, no AC</b>		<b>Measure: Roof Insulation</b>			
R <sub>eff</sub> Base	<b>0</b>		<b>11</b>		<b>19</b>		<b>30</b>		<b>38</b>		
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	kWh/ kSF	kW/ kSF	
<b>11</b>	7775.8	0.000									
<b>19</b>	8723.0	0.000	947.3	0.000							
<b>30</b>	9277.1	0.000	1501.4	0.000	554.1	0.000					
<b>38</b>	9493.9	0.000	1718.1	0.000	770.8	0.000	216.7	0.000			
<b>49</b>	9683.4	0.000	1907.7	0.000	960.4	0.000	406.3	0.000	189.6	0.000	
<b>60</b>	9807.0	0.000	2031.2	0.000	1084.0	0.000	529.9	0.000	313.1	0.000	

Building: Single Family			City: Binghamton			HVAC: Gas Heat, No AC			Measure: Roof Insulation						
R <sub>eff</sub> Base	0		11			19			30			38			
R <sub>eff</sub> Measure	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF	kWh/ kSF	kW/ kSF	therm/ kSF
<b>11</b>	166.0	0.000	333.1												
<b>19</b>	186.3	0.000	374.6	20.3	0.000	41.5									
<b>30</b>	198.1	0.000	398.8	32.1	0.000	65.7	11.8	0.000	24.2						
<b>38</b>	202.7	0.000	408.4	36.7	0.000	75.3	16.4	0.000	33.8	4.6	0.000	9.6			
<b>49</b>	206.8	0.000	416.7	40.8	0.000	83.6	20.5	0.000	42.2	8.7	0.000	17.9	4.1	0.000	8.4
<b>60</b>	209.4	0.000	422.2	43.3	0.000	89.1	23.0	0.000	47.6	11.3	0.000	23.4	6.7	0.000	13.8

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*Appendix H: Residential Distribution System Efficiency in Cooling Mode (Attic Ducts)*

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**Engine Block Heater Timers**

**Description of Measure**

This section covers timers used to control engine block heaters on farm equipment engines such as tractors, skid steers, truck, generators, and so on. The timers are used to control existing engine block heaters.

**Method for Calculating Summer Peak Demand and Energy Savings**

$$\Delta kW_s = 0$$

$$\Delta kWh = \text{units} \times \frac{\text{Watts}_{\text{heater}}}{1000} \times (\text{hr/day}_{\text{base}} - \text{hr/day}_{\text{timer}}) \times \text{day/year}$$

where:

- $\Delta kW_s$  = gross summer coincident demand savings
- $\Delta kWh$  = gross annual energy savings
- units = number of timers installed under the program
- $\text{Watts}_{\text{heater}}$  = wattage of engine block heater
- $\text{hr/day}_{\text{base}}$  = avg hours per day heater plugged in
- $\text{hr/day}_{\text{timer}}$  = avg hours per day timer turns the heater on
- day/yr = number of days per year heater is used

**Watts<sub>heater</sub>** is defined as the wattage of the engine block heater under control. This value is recorded by the customer on the application.

**hr/day<sub>base</sub>** is defined as the average number of hours the engine block heater is plugged in and active before the installation of the timer. This value is recorded by the customer on the application.

**hr/day<sub>timer</sub>** is defined as the number of hours the engine block heater is controlled on by the timer. The on time and off time are set by the user. The number of hours required to sufficiently warm the engine depends on the size (mass) of the engine, the heating capacity of the heater and the environmental temperature. Estimates of 1 to 4 hours of block heater operation are common in the literature. A deemed value of 2.0 hours per day shall be used (1).

**day/yr** is defined as the number of days in the year the heater is used. This value is calculated from the customer reported heater use start and end date on the application.

### **Baseline Efficiencies from which Savings are Calculated**

The baseline condition is assumed to be the existing engine block heater plugged in and operational during the hours reported by the customer.

### **Compliance Efficiency from which Incentives are Calculated**

The measure is defined as the existing block heater controlled by the timer. Timer on hours are set by the customer. A deemed value of 2.0 hours per day shall be used.

### **Non-Electric Benefits - Annual Fossil Fuel Savings**

None expected.

### **Summary of Variables and Data Sources**

<b>Variable</b>	<b>Value</b>	<b>Notes</b>
Units		From application.
Watts <sub>heater</sub>		From application
hr/day <sub>base</sub>		From application
hr/day <sub>timer</sub>	2.0	Deemed value for timer operation
day/yr		From application

### **Notes & References**

1. Deemed value for timer operation taken from Wisconsin Public Service calculator for tractor heater timers. See [http://www.wisconsinpublicservice.com/business/farm\\_tractor.aspx](http://www.wisconsinpublicservice.com/business/farm_tractor.aspx)

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**Summary of Variables and Data Sources**

Variable	Value	Notes
UA <sub>base</sub>		Calc from baseline water heater EF or standby loss.
UA <sub>ee</sub>		Calc from lookup table based on tank volume and insulation thickness. Use 1 inch if insulation thickness not known.
delta T <sub>s</sub>	T <sub>set</sub> - T <sub>amb</sub>	
GPD		Default to 78 for SF. Use GPD based on number of units for MF; otherwise from application
delta T <sub>w</sub>	T <sub>set</sub> - T <sub>main</sub>	
Et <sub>base</sub>	0.75 (gas)	
Et <sub>ee</sub>		From application
V		From application
T <sub>set</sub>	130	
T <sub>amb</sub>	65	
T <sub>mains</sub>		Avg T <sub>mains</sub> based on upstate or downstate
Capacity (Q)	40,000	See table for storage type gas water heaters above
EF <sub>base</sub>		Calc from tank volume
RE <sub>base</sub>		0.75
V <sub>base</sub>		Same as V
SL <sub>base</sub>	380 Btu/hr	Based on 120 gal tank with 2 in foam insulation

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**Summary of Variables and Data Sources**

Variable	Value	Notes
tons		From application or use 5 ton as default. Use 0 if no central cooling
SEER <sub>base</sub>	10	
EFLH <sub>cool</sub>		Vintage weighted average by city.
ESF <sub>cool</sub>	0.09	
If heat pump:		
kBTuh/unit <sub>out</sub>		From application.
HSPF <sub>base</sub>	6.8	
If furnace:		
kBTuh <sub>in</sub> /unit		From application.
If boiler:		
kBTuh <sub>in</sub> /unit		From application.
EFLH <sub>heat</sub>		Vintage weighted average by city.
ESF <sub>heat</sub>	0.068	

**Evaporator Fan Controls**

**Description of Measure**

Walk-in cooler and freezer evaporator fans often run continually, requiring more air to be blown across the evaporator than needed to cool the evaporator. This measure consists of a control system that turns the fan on only when the unit’s thermostat is calling for the compressor to operate, shutting the fan off shortly after the desired temperature is reached and the compressor is turned off.

**Savings Estimation Approach**

The savings from this measure is highly dependent on the type, size and condition of the coolers and freezers fitted with fan controls. As a result an estimate of the typical unit must be based on the program’s projection of what types and sizes of units will be served and the condition of those units to function.

In general the following estimate approach must be made for the typical units that the program is expected to control:

$$\Delta kWh = \Delta kWh_{EF} + \Delta kWh_{RH} + \Delta kWh_{EC}$$

where:

- $\Delta kWh_{EF}$  = Savings due to Evaporator Fan being off
- $\Delta kWh_{RH}$  = Savings due to reduced heat from Evaporator Fans
- $\Delta kWh_{EC}$  = Savings due to the electronic controls on compressor and evaporator

$$\Delta kWh_{EF} = kW_{fan} * FLH_{fan} * F_{off}$$

where:

- $kW_{fan}$  = Fan kW  
 $= (V * A * (\text{phase})^{0.5} * PF_{fan}) / 1000$
- $V_{fan}$  = nameplate fan volts
- $A_{fan}$  = nameplate fan amps
- $Phase_{fan}$  = number of phases (1 or 3)
- $PF_{fan}$  = power factor for fan motor
- $FLH_{fan}$  = Annual operating hours
- $F_{off}$  = Fraction of time that Evaporator Fan is turned off.

$$\Delta\text{kWh RH} = \Delta\text{kWh EF} * 0.28 * \text{kW/ton}$$

where:

$$\begin{aligned} \Delta\text{kWh EF} &= \text{Savings due to Evaporator Fan being off.} \\ 0.28 &= \text{unit conversion (ton/kW)} \\ \text{kW/ton} &= \text{compressor efficiency (kW/ton)} \end{aligned}$$


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$$\Delta\text{kWh EC} = (\text{kW}_{\text{comp}} * ((\text{FLH}_w) + (\text{FLH}_s)) * F_{\text{control}}) + (\text{kW}_{\text{fan}} * \text{FLH}_{\text{fan}} * (1 - F_{\text{off}}) * F_{\text{control}})$$

where:

$$\begin{aligned} \text{kW}_{\text{comp}} &= \text{Compressor kW} \\ &= (V_{\text{comp}} * A_{\text{comp}} * (\text{phase}_{\text{comp}})^{0.5} * \text{PF}_{\text{comp}}) / 1000 \\ V_{\text{comp}} &= \text{Compressor nameplate volts} \\ A_{\text{comp}} &= \text{Compressor nameplate amps} \\ \text{Phase} &= \text{number of phases (1 or 3)} \\ \text{PF}_{\text{comp}} &= \text{power factor for compressor} \\ \text{FLH}_s &= \text{Compressor summer FLH} \\ &= \text{Cycle}_{\text{summer}} * \text{hr}_{\text{summer}} \\ \text{FLH}_w &= \text{Compressor winter FLH} \\ &= \text{Cycle}_{\text{winter}} * \text{hr}_{\text{winter}} \\ F_{\text{off}} &= \text{Fraction of time that Evaporator Fan is turned off.} \\ F_{\text{control}} &= \text{Fraction of time compressor and fans are off due to electronic controls} \\ A_{\text{comp}} &= \text{Nameplate Amps of Compressor} \\ V_{\text{comp}} &= \text{Nameplate Volts of Compressor} \\ \text{Phase}_{\text{comp}} &= \text{Phase of Compressor (1 or 3)} \end{aligned}$$


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$$\Delta\text{kW} = \text{kW}_{\text{fan}} * \text{DF}$$


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## Electronically Commutated Motors (ECM) for Refrigerated Cases and Walk-In Cooler Fans

EC Motor retrofits in Walk-in Coolers and Freezers

$$\text{kWh Savings} = \text{kWh Savings}_{\text{EF}} + \text{kWh Savings}_{\text{RH}}$$

Where:

kWh Savings<sub>EF</sub> = Savings due to Evaporator Fan Motors being replaced

kWh Savings<sub>RH</sub> = Savings due to reduced heat from Evaporator Fans

Where each component is calculated in the following manner:

$$\text{kWh Savings}_{\text{EF}} = (\text{Amps}_{\text{EF}} * \text{Volts}_{\text{EF}} * \sqrt{\text{Phase}_{\text{EF}}} * 0.55 * (8,760 \text{ or } 5,600) * 65\%) / 1000$$

Where:

Amps<sub>EF</sub> = Nameplate Amps of Evaporator Fan

Volts<sub>EF</sub> = Nameplate Volts of Evaporator Fan

Phase<sub>EF</sub> = Phase of Evaporator Fan

0.55 = Power Factor/Adjustment, estimate by National Resource Management, NRM based on their experience over the past 15 years.

8,760 = Annual operating hours if Cooltrol is not part of installation

5,600 = Annual operating hours if Cooltrol is part of installation

65% = Percent reduction of load by replacing motors. This value is an estimate by NRM based on several pre and post meter readings of installations. This is supported by RLW report for National Grid, "Small Business Services, Custom Measure Impact Evaluation", March 23, 2007.

And:

$$\text{kWh Savings}_{\text{RH}} - (\text{Savings due to reduced heat from fan motors}) = \text{kWh Savings}_{\text{EF}} * 0.28 * 1.6$$

Where:

kWh Savings<sub>EF</sub> = Savings due to Evaporator Fans being replaced (see above)

0.28 = unit conversion between kW and tons, See LED lighting Calculation for derivation

1.6 = Efficiency of typical refrigeration system, units are kW/ton

## Refrigerated Case Motor Replacement

kWh Savings = (Annual motor kW A \* 53% or 29% \* 8,500) + Refrigeration savings due to reduced heat load from new motors.

Where:

kW A = metered load of case motors

53% = energy reduction if a shaded pole motors is being replaced. Based on numerous pre and post meterings conducted by NRM

29% = energy reduction if a PSC motor is being replaced. Based on numerous pre and post meterings conducted by NRM

8,500 = average runtime of case motors

Refrigeration Savings - (Savings due to reduced heat from fan motors) =

kWh Savings<sub>CM</sub> \* 0.28 \* 1.6

Where:

kWh Savings<sub>CM</sub> = Savings due to Case Motors being replaced (see above).

0.28 = unit conversion between kW and tons, see LED Lighting Calculation for derivation of value

1.6 = Efficiency of typical refrigeration system, units are kW/ton

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## Boilers

### Description of Measure

High efficiency condensing and non-condensing gas-fired hot water and steam boilers in single family and multi-family buildings.

### Method for Calculating Energy Savings

$$\Delta \text{therms} = \text{units} \times \frac{\text{kBtuh}_{\text{in}}}{\text{unit}} \times \left( \frac{\bar{\eta}_{ee}}{\eta_{base}} - 1 \right) \times \frac{EFLH_{heat}}{100}$$

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## High Efficiency Gas Furnaces

### Description of Measure

This section covers high efficiency condensing gas furnaces with an AFUE > 92% in single family and multi-family applications.

### Method for Calculating Energy Savings

$$\Delta \text{therms} = \text{units} \times \frac{\text{kBtuh}_{\text{in}}}{\text{unit}} \times \left( \frac{\text{AFUE}_{ee}}{\text{AFUE}_{base}} - 1 \right) \times \frac{\text{EFLH}_{heat}}{100}$$

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## Indirect Water Heaters

Indirect water heaters are tank-type water heaters that are indirectly heated by hot water from a boiler rather than direct input from electric elements or gas burners. A heat exchanger separates the potable water in the water heater from the boiler water. The baseline assumption for indirect water heaters is a standard efficiency tank type water heater or an indirect system with a standard efficiency boiler.

### Method for Calculating Energy Savings

$$\Delta \text{therm} = \text{units} \times \left[ \frac{\text{GPD} \times 365 \times 8.3 \times \overline{\Delta T}_w}{100,000} \times \left[ \frac{1}{E_{t,base}} - \frac{1}{E_{t,ee}} \right] + \left( \frac{UA_{base}}{E_{t,base}} - \frac{UA_{ee}}{E_{t,ee}} \right) \times \frac{\Delta T_s}{100,000} \times 8760 \right]$$

$$UA_{base} = \frac{\frac{1}{EF_{base}} - \frac{1}{RE_{base}}}{67.5 \times \left( 0.000584 - \frac{1}{RE_{base} \times Cap_{base}} \right)}$$

$$EF_{base} = 0.62 - 0.0019V_{base}$$

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Using the default values above, the tank UA for typical gas water heaters are shown in the Table below:

Water Heater Size	Gas Water Heater Tank UA
40	13.6
80	21.6
120	32.8

Tank overall heat loss coefficient (*UA*) for larger multi-family water heaters is calculated from the standby loss specification.

Larger tank UA values are shown below.

Water heater size (gal)	Height (in)	Diameter (in)	UA (Btu/hr-F)				
			Bare tank	Fiberglass		Foam	
				1 in	2in	1 in	2in
140	76	24	47.9	12.0	6.2	9.6	4.9
200	72	30	60.9	14.7	7.6	11.7	6.0
250	84	30	68.1	16.8	8.6	13.3	6.9
350	88	36	88.8	21.5	11.0	17.1	8.7
400	97	36	95.3	23.3	11.9	18.5	9.5
500	74	48	115.9	26.3	13.3	20.9	10.6
750	106	48	146.9	34.9	17.7	27.7	14.1
1000	138	48	177.9	43.5	22.1	34.6	17.6

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Standard assumptions for recovery efficiency and input capacity for small non-condensing water heaters<sup>6</sup> are:

Water Heater Type	Recovery efficiency	Capacity (Btu/hr)
Gas	0.75	40,000

<sup>6</sup> Values applicable to non-condensing water heaters with EF ≤ 0.68.

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**Water Heater**

**Description of Measure**

Efficient instantaneous and storage type water heaters installed in whole-house applications.

**Method for Calculating Energy Savings**

$$\Delta kW_S = units \times \frac{(UA_{base} - UA_{ee}) \times \Delta T_s}{3412} \times DF_s \times CF_s$$

$$\Delta kWh = units \times \frac{GPD \times 365 \times 8.33 \times \overline{\Delta T}}{3412} \times \left[ \frac{1}{EF_{base}} - \frac{1}{COP} \right]$$

$$\Delta therm = units \times \frac{GPD \times 365 \times 8.3 \times \overline{\Delta T}_w}{100,000} \times \left[ \frac{1}{EF_{base}} - \frac{1}{EF_{ee}} \right]$$

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**Water Heaters**

**Description of Measure**

Efficient water heaters installed in whole-building applications.

**Method for Calculating Energy Savings**

$$\Delta kW_S = units \times \frac{(UA_{base} - UA_{ee}) \times DT_s}{3412} \times DF_s \times CF_s$$

$$\Delta kWh = units \times \frac{GPD \times 365 \times 8.33 \times \overline{\Delta T}_w}{3412} \times \left[ \frac{1}{E_{t,base}} - \frac{1}{E_{t,ee}} \right]$$

$$\Delta therm = units \times \frac{GPD \times 365 \times 8.33 \times \overline{\Delta T}_w}{100,000} \times \left[ \frac{1}{E_{t,base}} - \frac{1}{E_{t,ee}} \right]$$

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This relationship was used to adjust the New England data for New York locations, as shown below:

City	CDH(65)/24	Cooling EFLH
Albany	716	181
Binghamton	517	120
Buffalo	619	151
Massena	592	143
NYC	1374	382
Poughkeepsie	804	208
Syracuse	734	186

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**Opaque Shell Insulation**

**Description of Measure**

This section covers improvements to the thermal conductance of the opaque building shell, which includes upgrading insulation in walls, ceilings, floors, etc. Energy and demand saving are realized through reductions in the building heating and cooling loads.

**Method for Calculating Summer Peak Demand and Energy Savings**

$$\Delta kW_S = SF \times (\Delta kW/SF) \times CF_S \times \frac{EER_{base}}{EER_{part}} \times \left[ \frac{\eta_{dist,pk,base}}{\eta_{dist,pk,part}} \right]_{cool}$$

$$\Delta kWh = SF \times (\Delta kWh/SF) \times \frac{SEER_{base}}{SEER_{part}} \times \left[ \frac{\bar{\eta}_{dist,base}}{\bar{\eta}_{dist,part}} \right]_{cool}$$

$$\Delta therm = SF \times (\Delta therm/SF) \times \frac{AFUE_{base}}{AFUE_{part}} \times \left[ \frac{\bar{\eta}_{dist,base}}{\bar{\eta}_{dist,part}} \right]_{heat}$$

where:

- $EER_{base}$  = EER used in the simulations
- $EER_{part}$  = EER of cooling systems within participant population
- $SEER_{base}$  = SEER used in the simulations
- $SEER_{part}$  = SEER of cooling system within participant population
- $AFUE_{base}$  = AFUE used in the simulations
- $AFUE_{part}$  = AFUE of heating system within participant population
- $\bar{\eta}_{dist,base}$  = distribution system seasonal efficiency used in simulations
- $\bar{\eta}_{dist,part}$  = distribution system seasonal efficiency within participant population

$\eta_{\text{dist,pk,base}}$	= distribution system efficiency under peak conditions used in simulation
$\eta_{\text{dist,pk,part}}$	= distribution system efficiency under peak conditions within participant population
$CF_s$	= Peak coincidence factor (summer)

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**Method for Calculating Summer Peak Demand and Energy Savings**

$$\Delta kW_S = \text{units} \times \frac{\text{tons}}{\text{unit}} \times (kW/ton_{base} - kW/ton_{ee}) \times CF_s$$

$$\Delta kWh = \text{units} \times \frac{\text{tons}}{\text{unit}} \times (IPLV_{base} - IPLV_{ee}) \times EFLH_{cooling}$$

where:

$\Delta kW$	= gross coincident demand savings
$\Delta kWh$	= gross annual energy savings
units	= the number of air conditioning units installed under the program
tons/unit	= tons of air conditioning per unit, based on nameplate data
IPLV	= annual average chiller efficiency (kW/ton)
kW/ton	= full load chiller efficiency under peak conditions
$EFLH_{cooling}$	= cooling equivalent full-load hours
CF	= coincidence factor

The rated full load **kW/ton** at ARI rating conditions is used to define the efficiency under peak conditions. The **IPLV** as defined by ARI is used to define the annual average efficiency. These values represent average conditions across the US, and will be used until data specific to New York can be developed. Note, chiller full load efficiency or IPLV may also be expressed as coefficient of performance (COP). To convert chiller efficiency from COP to kW/ton, use the following equation:

$$\begin{aligned} \text{kW/ton} &= 3.516 / \text{COP} \\ \text{IPLV(kw/ton)} &= 3.516 / \text{IPLV(COP)} \end{aligned}$$

**Cooling equivalent full-load hours** (EFLH) are defined as the ratio of the annual building cooling energy to the nameplate capacity:

$$EFLH_{cool} = \frac{\text{Annual kWh}_{cooling}}{\text{kW}_{\text{peak, cooling}}}$$

Cooling equivalent full load hours were calculated from a DOE-2.2 simulation of prototypical large office building. The prototype building characteristics are described in Appendix A. The CLH for built-up HVAC systems in commercial buildings in various NY locations are shown in Appendix G.

The *coincidence factor* is used to account for the fact that not all HVAC systems in all buildings in the population are operating at the same time. The coincidence factor is defined as the average fraction of installed capacity of a population of HVAC systems that are operating at the time of the system peak.

Recommended value for the coincidence factor is shown below.

Parameter	Recommended Values
Coincidence factor	0.8

**Baseline Efficiencies from which Savings are Calculated**

The baseline efficiency for new construction varies by equipment type and size, and is shown in the table below.

**Baseline Performance Assumptions**

Equipment Category	Capacity Range	Baseline Efficiency (kw/ton)		Baseline Efficiency (COP)	
		Average	Peak	Average	Peak
Air Cooled Chiller	All	1.15	1.26	3.05	2.8
Water cooled Recip	All	0.70	0.84	5.05	4.2
Water cooled screw and scroll	< 150 tons	0.68	0.79	5.2	4.45
	150 – 300 tons	0.63	0.72	5.6	4.9
	> 300 tons	0.57	0.64	6.15	5.5
Water cooled centrifugal	< 150 tons	0.67	0.70	5.25	5.00
	150 – 300 tons	0.60	0.63	5.90	5.55
	> 300 tons	0.55	0.58	6.40	6.10

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See Record of Revision Number 7-13-30 above.

**Refrigerators<sup>7</sup>**

This section covers replacement of refrigerators with Energy Star models in single family and multi-family dwellings. High-efficiency refrigerators save energy and demand through improved compressor design, better case insulation, improved door seals, and improvements to defrost and anti-sweat heater controls. Both early replacement and normal replacements are covered in this section. The algorithms include an adjustment for the fraction of replaced refrigerators that enter the used appliance market. Programs that cannot demonstrate disabling or recycling of removed refrigerators should include this adjustment.<sup>8</sup>

Through early replacement, annual savings ranging from a low of 300 kWh per unit, to a high of about 700 kWh per unit are expected. Three options for estimating the annual consumption of a refrigerator being replaced early are provided.<sup>9</sup> The first is measuring/metering actual energy usage of the refrigerator(s) to be replaced in place. The second option is a nameplate approach, with adjustments for age and condition (where nameplate data are available). The third is use of conservative deemed, default estimates of baseline (existing) refrigerator consumption.

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<b>City</b>	<b>Annual Average outdoor temperature (°F)</b>	<b>T mains (°F)</b>
Albany	48.2	54.2
Binghamton	46.9	52.9
Buffalo	48.3	54.3
Massena	44.7	50.7
Syracuse	48.6	54.6
Upstate Average	47.3	53.3
Long Island	56.5	62.5
NYC	56.5	55.0

<sup>7</sup> This section applies to refrigerators with and without freezers

<sup>8</sup> This chapter does not directly apply to retail rebate programs, especially with regard to this Market Effects Factor (below). This measure does not require a chapter, and its analysis can otherwise use materials in this chapter. Rebates at the retail level affect choice between new refrigerators, leaving no existing old one to be recycled or not.

<sup>9</sup> See Order Approving Modifications to the Technical Manual, Case 07-M-0548, July 18, 2011, pps. 18 – 20. In a unique provision to facilitate PA benefit cost analysis, the order provides a \$75 default incremental cost for normal replacement of multifamily refrigerators, allowing use of a lesser value, not less than \$35, if documented. Although refrigerators in single family homes tend to be larger than those in multifamily housing, \$75 may still be used as the incremental cost for normal replacement.

Record of Revision Numbers 3-13-1

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*Appendix O: C&I Lighting Policy*

See DPS Website

Record of Revision Numbers 5-11-1

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*Appendix M: Guidelines for Early Replacement Conditions*

See DPS Website