

Request No.: O&R-1

Requested By: Debra LaBelle, Chief, Energy Efficiency Programs

Date of Request: 9/1/2015

Reply Due Date: 9/16/2015

Directed To: Daniel Rosenblum, Associate Counsel

Topic: Orange and Rockland Utilities, Inc. 2016-2018 Budgets and Metrics (BAM) Plan and Energy Efficiency Transition Implementation Plan (ETIP)

Question(s):

1. Please quantify the specific cost categories included in the Portfolio Administration budget. To the extent possible map those categories to the existing EEPS budget categories.
2. By program, please describe and quantify the specific cost categories included in the Program Implementation budget. To the extent possible, map those categories to the existing EEPS budget categories.
3. For the electric portfolio, please provide the company's rationale for allocating approximately 5% of the total annual ETIP budgets to Evaluation Measurement and Verification (EM&V) when, historically, EEPS Electric EM&V has accounted for approximately 3% of the company's total expenditures and encumbrances and, through Q1/2015, the company has spent or encumbered approximately \$569,000 (EEPS Reporting System), which is only approximately 29% of the company's total EEPS Electric EM&V budget.
4. For the gas portfolio, please provide the company's rationale for allocating approximately 5% of the total annual ETIP budgets to EM&V when, historically, EEPS Gas EM&V has accounted for approximately 1% of the company's total expenditures and encumbrances and, through Q1/2015, the company has spent or encumbered approximately \$24,000 (EEPS Reporting System), which is only approximately 15% of the company's total EEPS Gas EM&V budget.
5. Please clarify whether the proposed savings targets are net or gross values with supporting rationale. If net, please provide the net to gross factors applied.
6. Although the company states it will track greenhouse gas emission (GHG) reductions and MW reductions, the company does not set any targets – please explain why no explicit secondary targets were set.
7. Please list the GHGs the company intends to monitor.
8. Does the company plan on proposing secondary targets in future BAM Plans and ETIPs?
 - a. If the company does plan on proposing secondary targets in future BAM Plans and ETIPs, please provide the metrics the company anticipates proposing?

- b. If the company does not plan on proposing secondary targets in future BAM Plans and ETIPS, please provide a justification for not including secondary targets.
9. By portfolio, please describe the information and historical data the company used to develop its forecasted expenditures and achievements. Please provide the work papers.
10. Please explain the anticipated changes that cause extend the electric portfolio pipeline from one year during 2016 and 2017 to two years in 2018 (page 8-9).
11. By portfolio, please provide the work papers supporting the calculation of unspent EEPS funds, with citations to relevant Commission orders.
12. The EM&V activities and schedule are too general and do not link a particular activity (or information gathered through the activity) to a specific year, instead providing ongoing activities and two or three-year periods that will be informed. For each EM&V activity, please provide a more detailed timeline that describes the information that the company expects from the activity and the intended use of the information, when that information would be available, and the program year by which the company anticipates incorporating the information into the program design, implementation, savings calculations, etc.
13. Please provide the work papers for program and portfolio benefit cost analyses (BCA). Please ensure that costs such as Portfolio Administration, Portfolio EM&V, and costs recovered through base rates and customer contributions/costs are disaggregated.
14. What, if anything, has the company done or planned to do to coordinate with the New York State Energy Research and Development Authority (NYSERDA) to ensure the programs work collaboratively rather than competitively with NYSERDA's Clean Energy Fund Programs?
15. Given the expectation that energy efficiency programs will become more innovative and aligned with a REV environment, please describe how the continuation of programs run under EEPS satisfies this expectation beyond a transitional period.
16. To the extent possible, please provide additional detail regarding specific modifications planned for 2017 and 2018 to make programs more aligned with REV principles.
17. Are any of the programs being offered in coordination with other initiatives (e.g. Demand Response programs, REV Demonstration Projects, gas conversion programs, state and federal initiatives, etc.)? If so, describe the relationship and what, if anything is being done to avoid duplicative incentives and double-counting of savings.
18. Please provide a list of programs where, due to system constraint or other reasons, the company intends to offer higher incentives to certain customers.
19. Please provide the assumed install rate for each program/program component that relies on the customers to install the efficiency measures.
20. For each program, please provide the expected timeframe for the project pipeline (i.e., how long it will take an average project to be completed). Include separate expectations for providing final payment and acquiring savings, if different.
21. Please confirm whether or not the company intends to implement the Self-Direct Program in compliance with the CE-03: Self-Direct Program Guidance.